

Study of Quantum Efficiency Enhancement in Different Mie-type Nanostructured NEA GaAs Photocathodes

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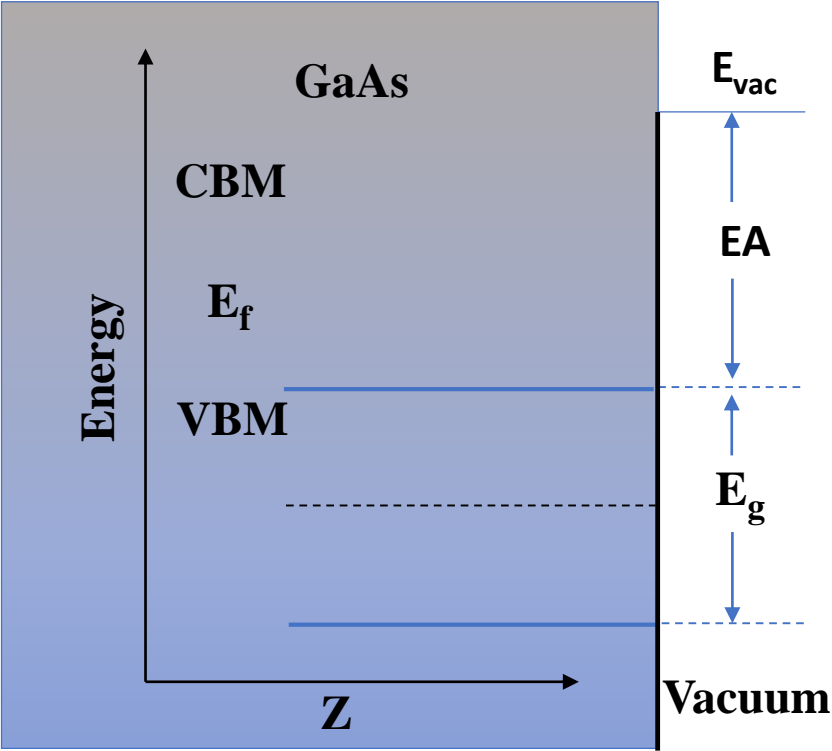
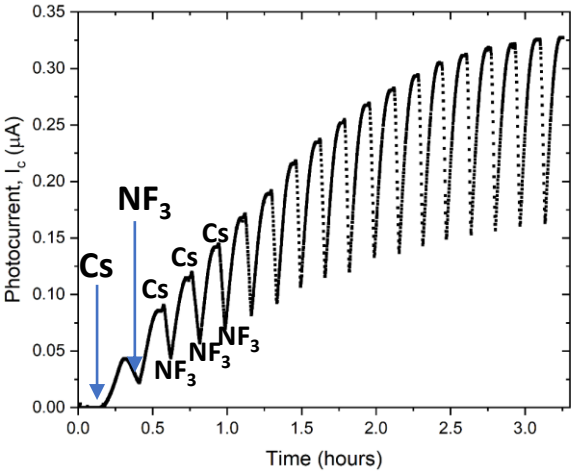
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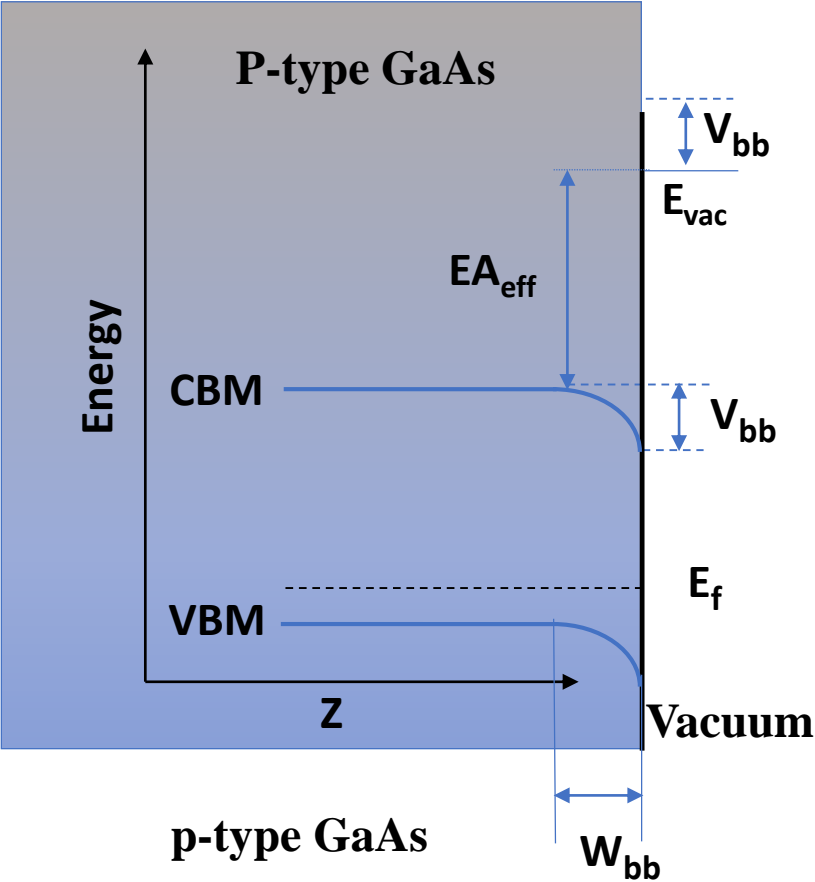
GaAs Negative Electron Affinity (NEA) Photocathode

$E_g = 1.42 \text{ eV} \text{ (~873 nm)}$

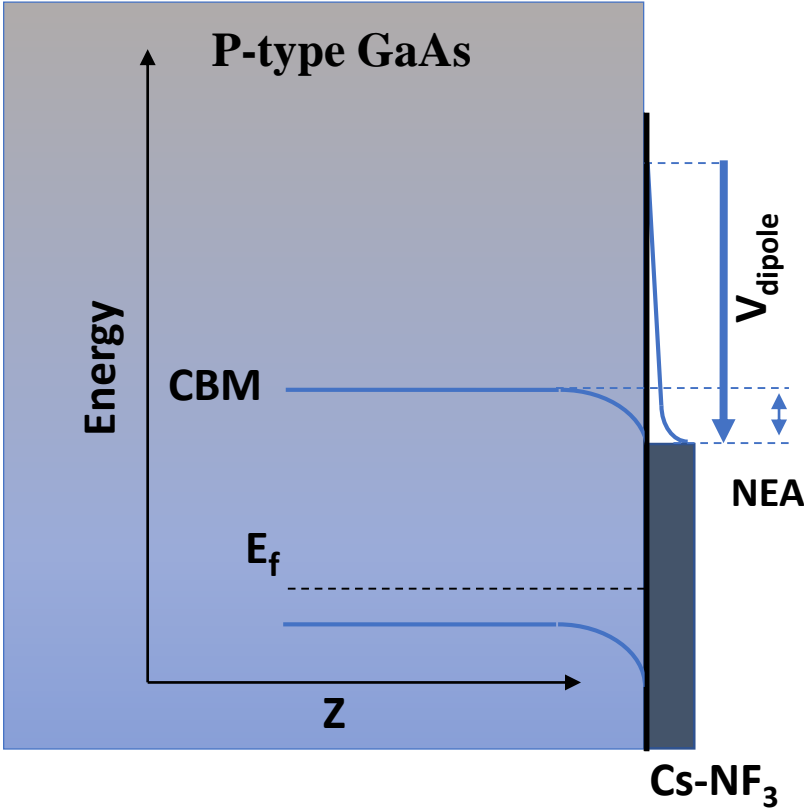
$EA \sim 4.0 \text{ eV}$



Intrinsic GaAs

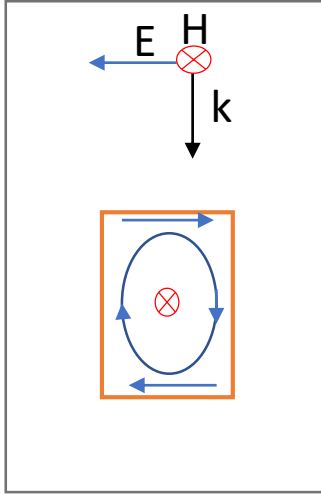


p-type GaAs



NEA p-type GaAs

Mie-type Nanostructures:



- Subwavelength sized nanostructures of dielectric material with higher refractive index. Example: GaAs, Silicon nanopillar array (NPA).
- Size: Diameter, $D \approx \frac{\lambda}{n}$ (where n is refractive index of material)
- Mie resonance theory [a],

$$\frac{nD}{\lambda} = 1 \text{ (dipole)}, 2 \text{ (quadrupole)}, \dots$$

$H = 1200 \text{ nm}, L = 142 \text{ nm}, \lambda = 780 \text{ nm}$

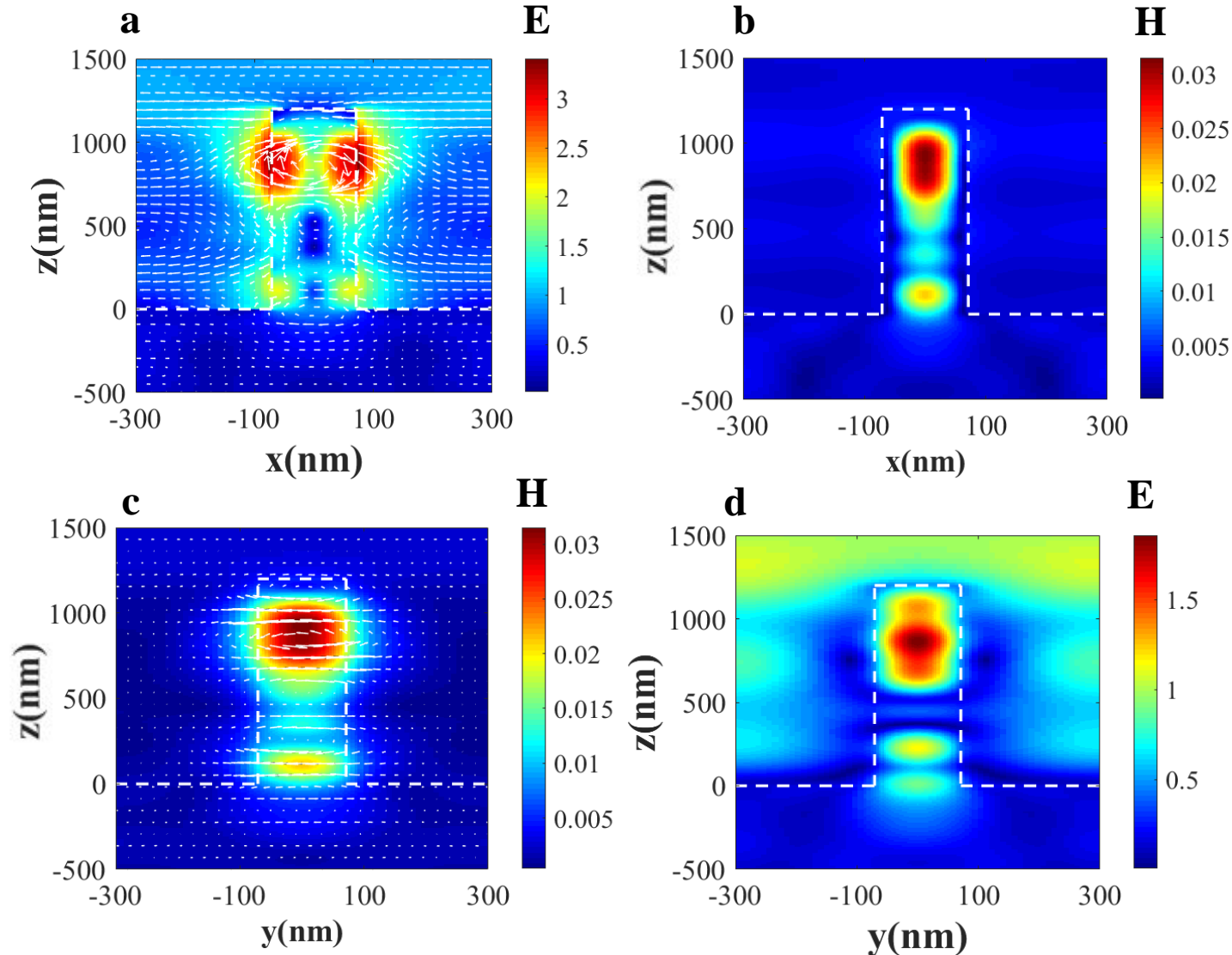
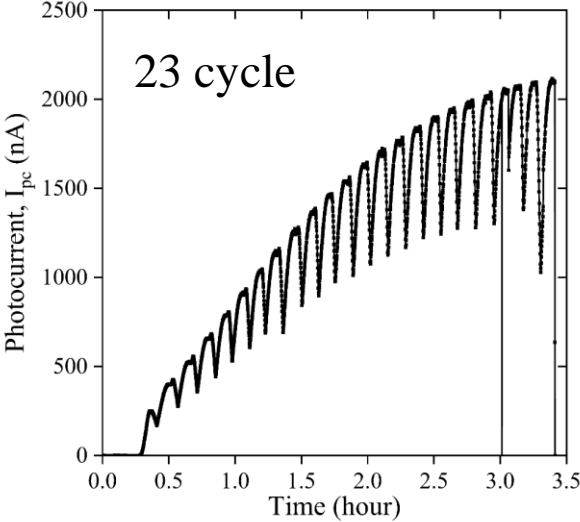


Figure : a) E-field distribution and field lines (white arrow), b) resonance enhanced H-field c) H-field distribution and field lines (white arrow) and d) resonance enhanced E-field distribution in Mie type nanosquare column.

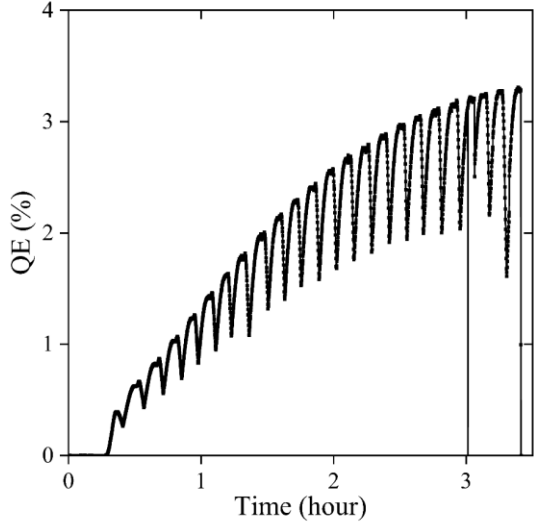
Experimental Results

Activation (Photocurrent Vs. Time)

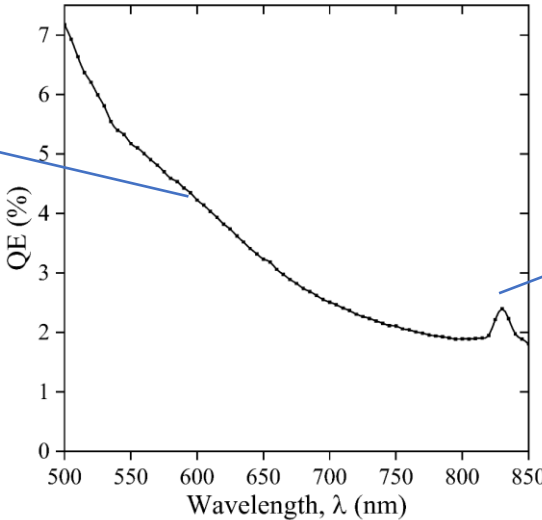


$$QE = \frac{Ihc}{\lambda eP} \times 100\%$$
$$\approx 124 \times \frac{I(\mu A)}{\lambda (nm) \times P (mW)} \times 100\%$$

Activation (QE Vs. Time)



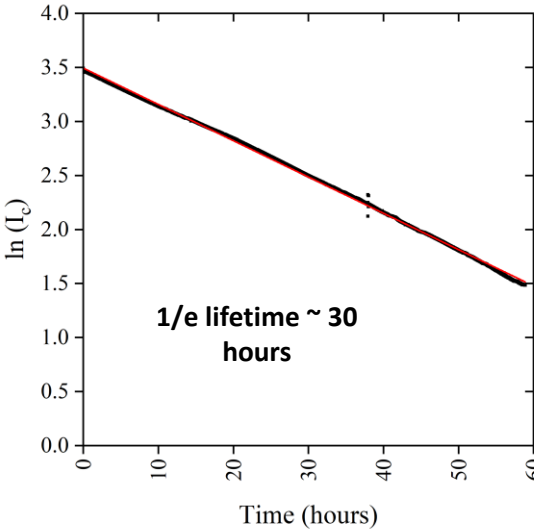
Spectral Scan



QE = ~ 4.3% at 600 nm (quadrupole mode excitation)

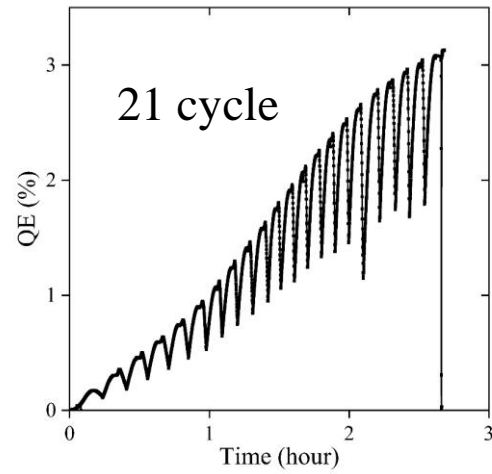
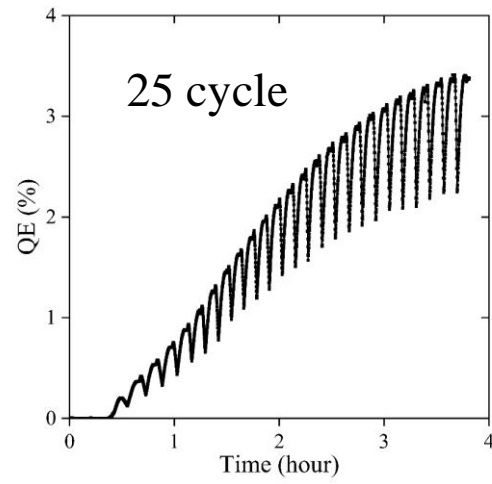
QE = ~ 2.4% at 830 nm (dipole mode excitation)

Lifetime at 830 nm

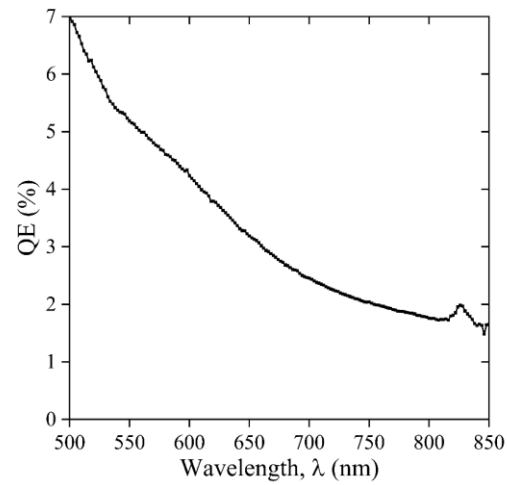
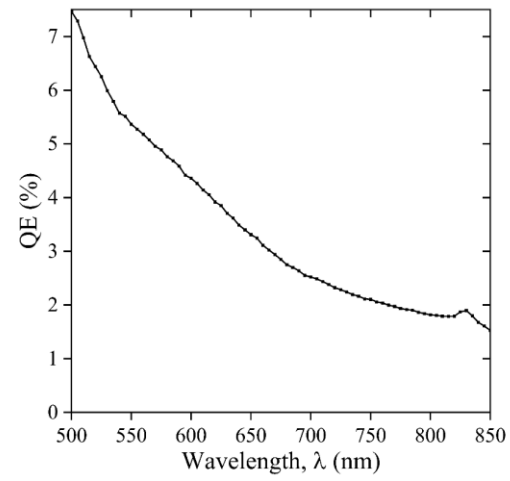


1/e lifetime ~ 30 hours

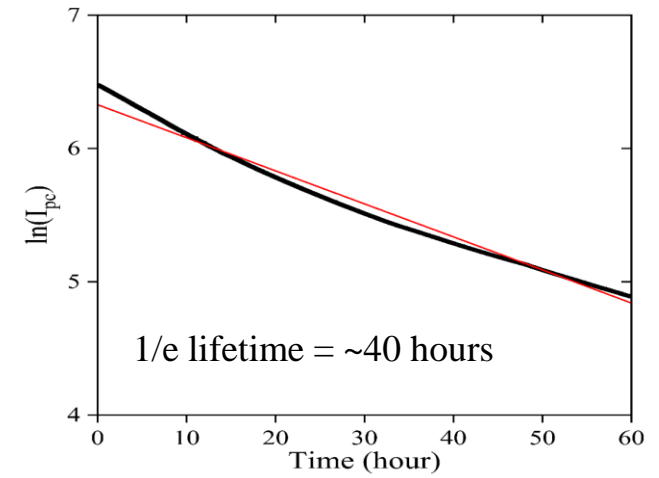
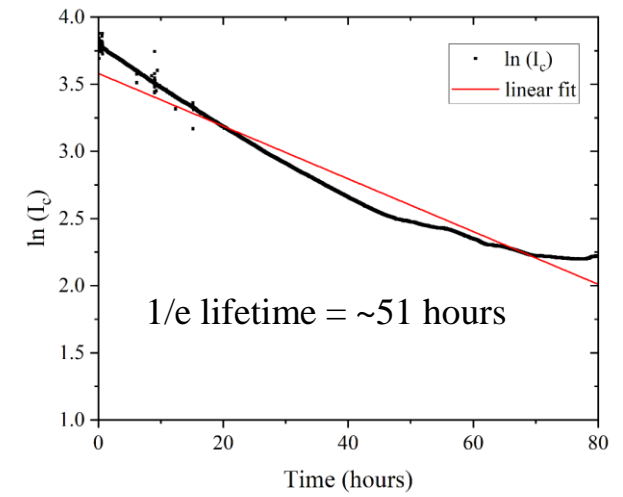
Activation (QE Vs. Time)



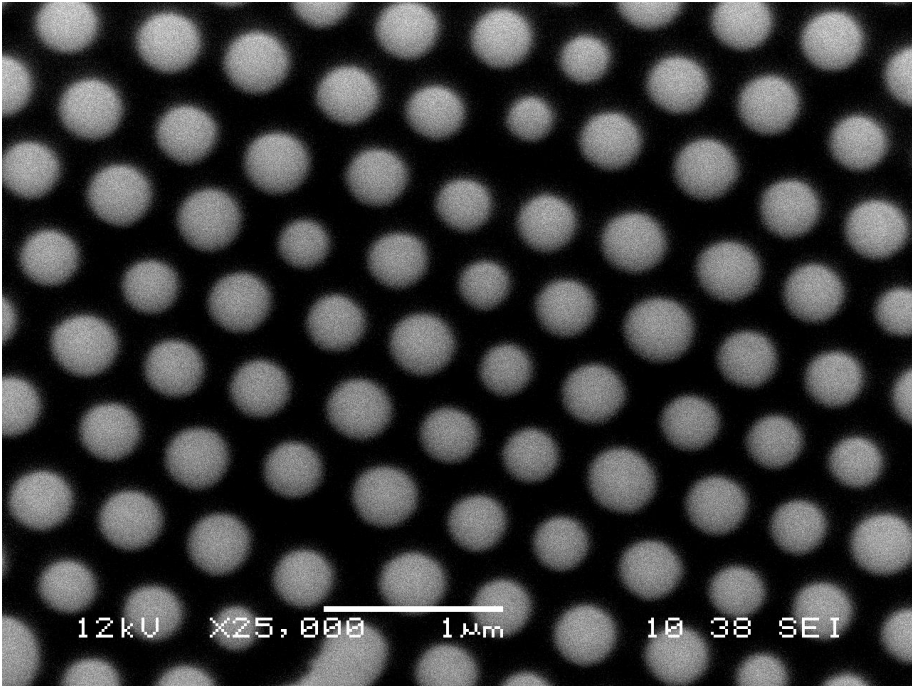
Spectral Scan



Lifetime at 830 nm



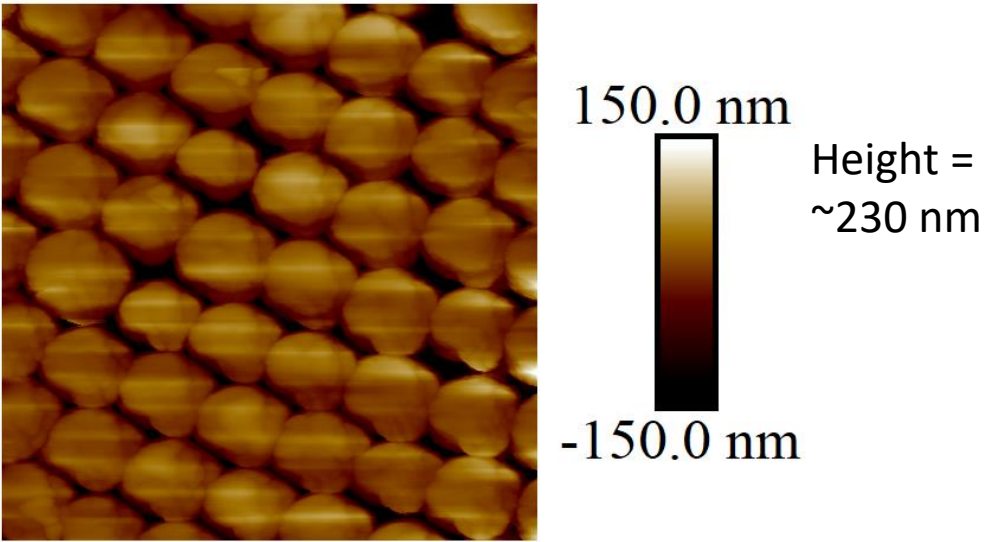
Sample:
22225a



SEM image for GaAs nanopillar array

Diameter varies between 200-331 nm
Period: 480-560 nm

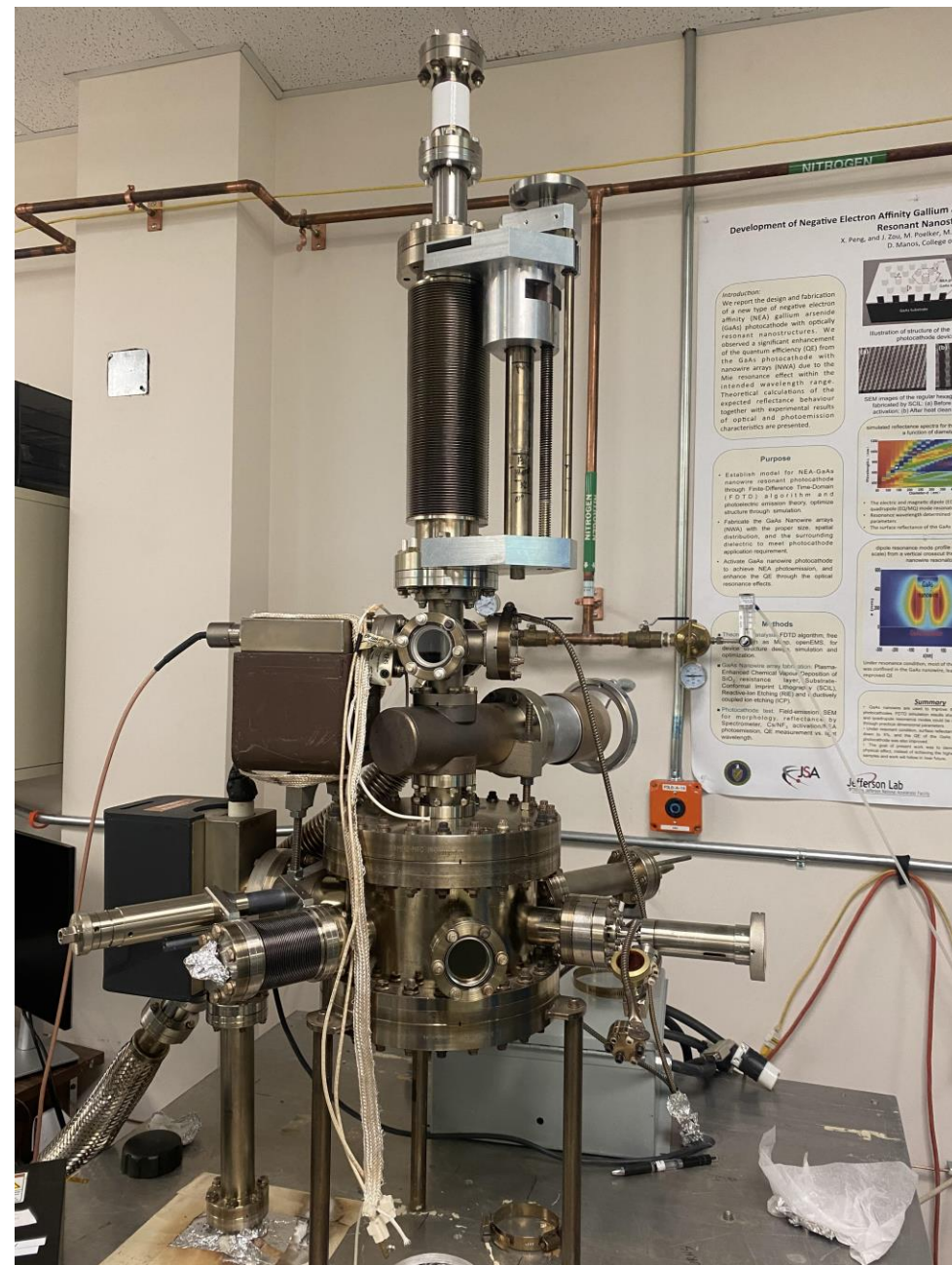
Mie resonance theory:
 $\frac{nD}{\lambda}=1$ (Dipole), $\frac{nD}{\lambda}=2$ (Quadrupole) [a]



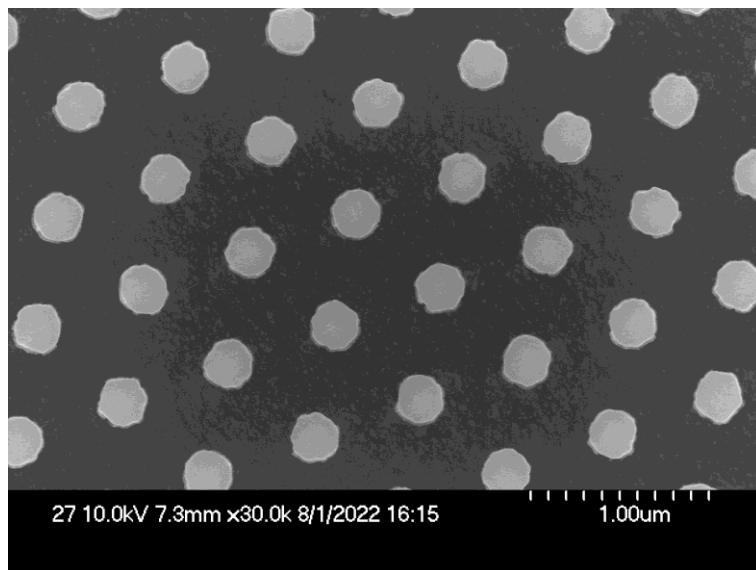
Height 600.0 nm
AFM image for GaAs nanopillar array

Pillar diameter, D (nm)	$\frac{nD}{\lambda}$	
	$\lambda=830\text{ nm}$	$\lambda=600\text{ nm}$
279.16	1.2	1.8
303.27	1.3	1.9
251.54	1.1	1.6
201.92	0.88	1.28
330.60	1.44	2.099

[a] Optically resonant dielectric nanostructures, Science 354(6314), (2016).
DOI: 10.1126/science.aag2472

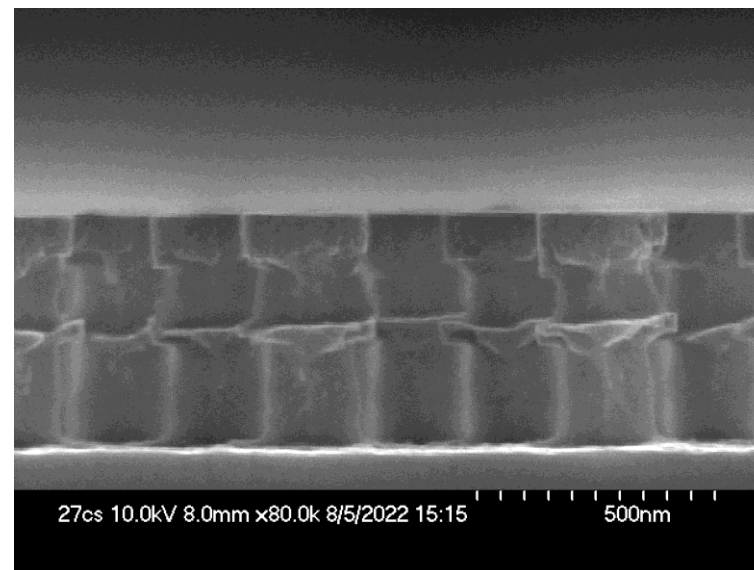


a



Diameter:
245-260 nm

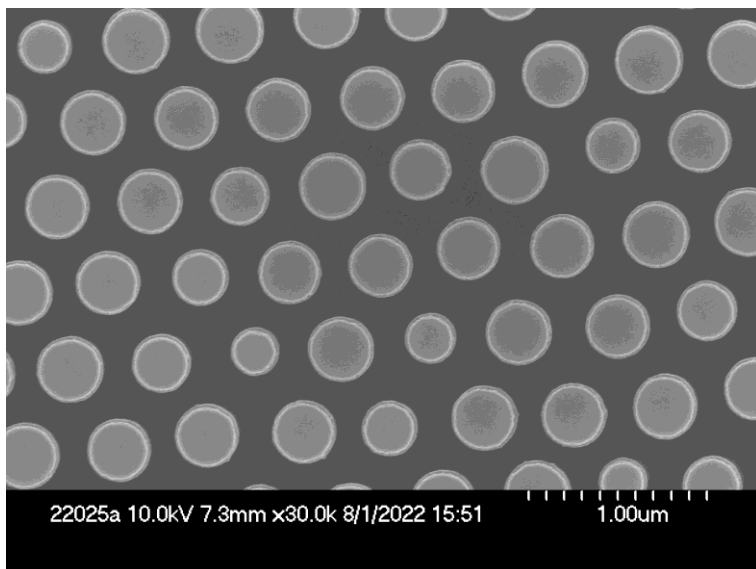
Period:
~630 nm



Height = 482-487 nm

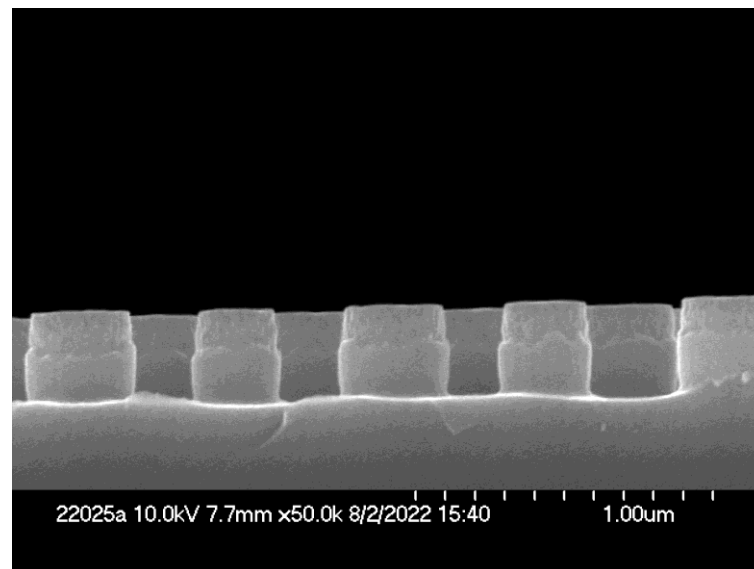
$\lambda_{dip.} = 760 - 840 \text{ nm}$

b



Diameter:
270-330 nm

Period:
500-515 nm

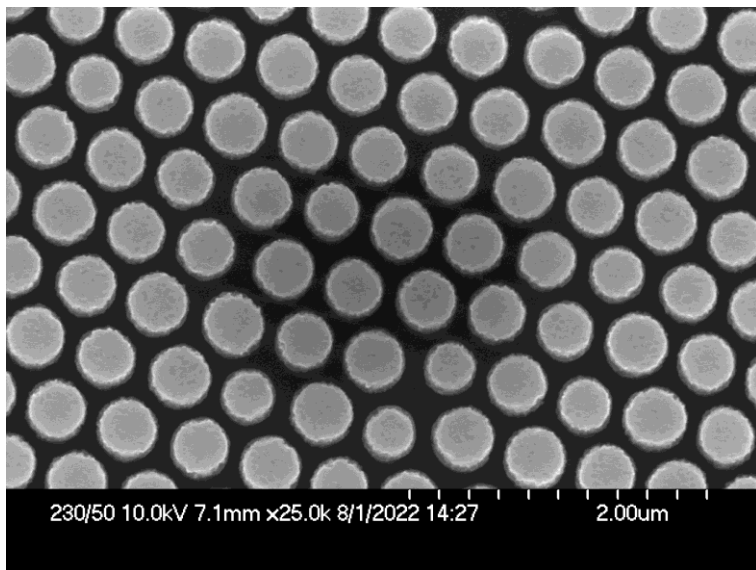


Height = 310-320 nm

$\lambda_{dip.} = \sim 830 \text{ nm}$

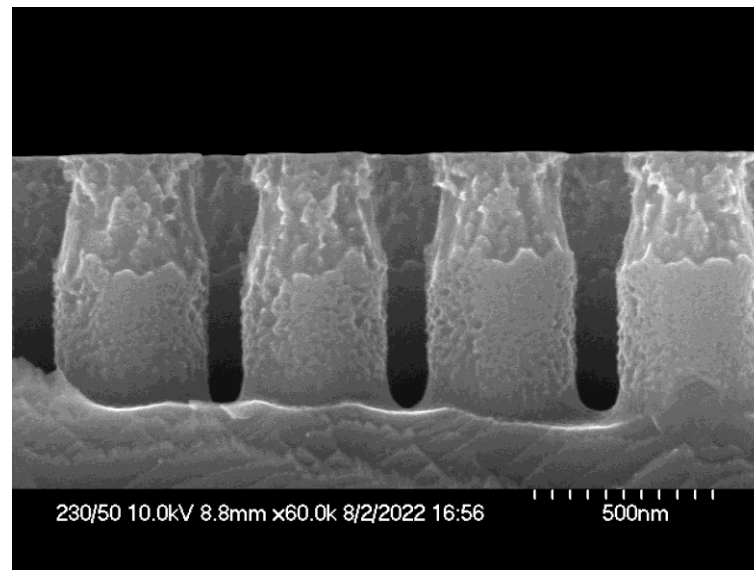
$\lambda_{quad.} = 600 - 700 \text{ nm}$

c



Diameter:
330-360 nm

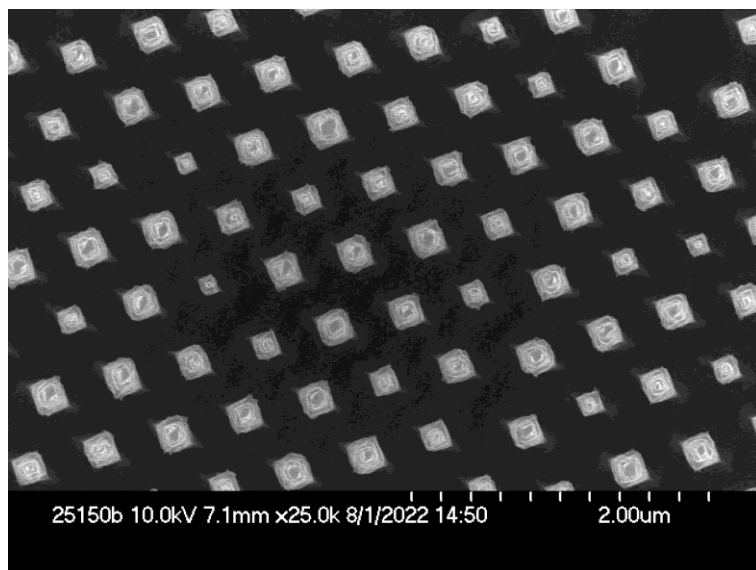
Period:
480-510 nm



Height = 730-760 nm

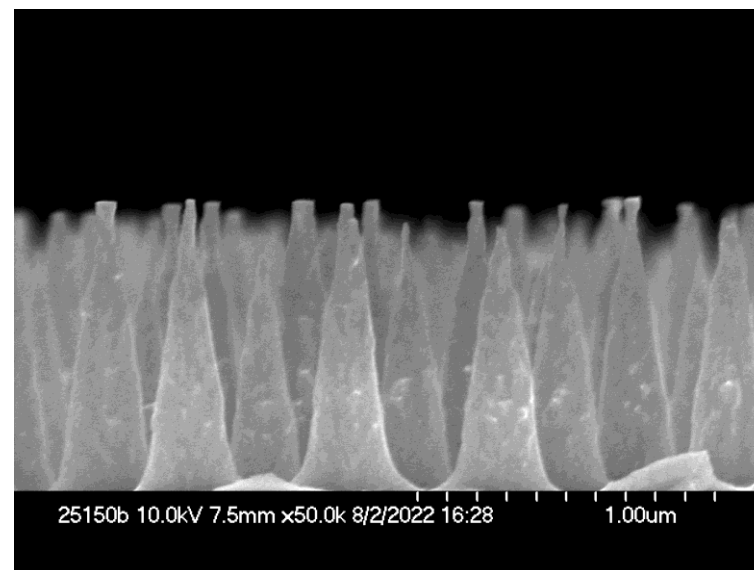
$\lambda_{quad.} = 650 - 800 \text{ nm}$

d



Side:
170-180 nm

Period:
~470 nm



Height =
950-1000 nm

Simulation of Different Mie-type Nanostructures:

Simulation Setup:

Simulation is based on Spicer's three step model:

1. Photoexcitation of electron

Lumerical FDTD

Photoelectron generation probability,

$$P_g = \frac{\int g(\lambda, x, y, z) dx dy dz}{\Phi}$$

where $g(x, y, z, \lambda) = \frac{\pi \epsilon_{im}(\lambda) E(x, y, z, \lambda)^2}{h}$ is photoelectron generation rate, and Φ is incident photon per unit time.

2. Photoelectron transport to the emission surface.

Photoelectron transport probability,

$$P_t = \frac{I_t}{e \int g(\lambda, x, y, z) dx dy dz}$$

I_t is the electron current at short-circuit condition.

Lumerical CHARGE

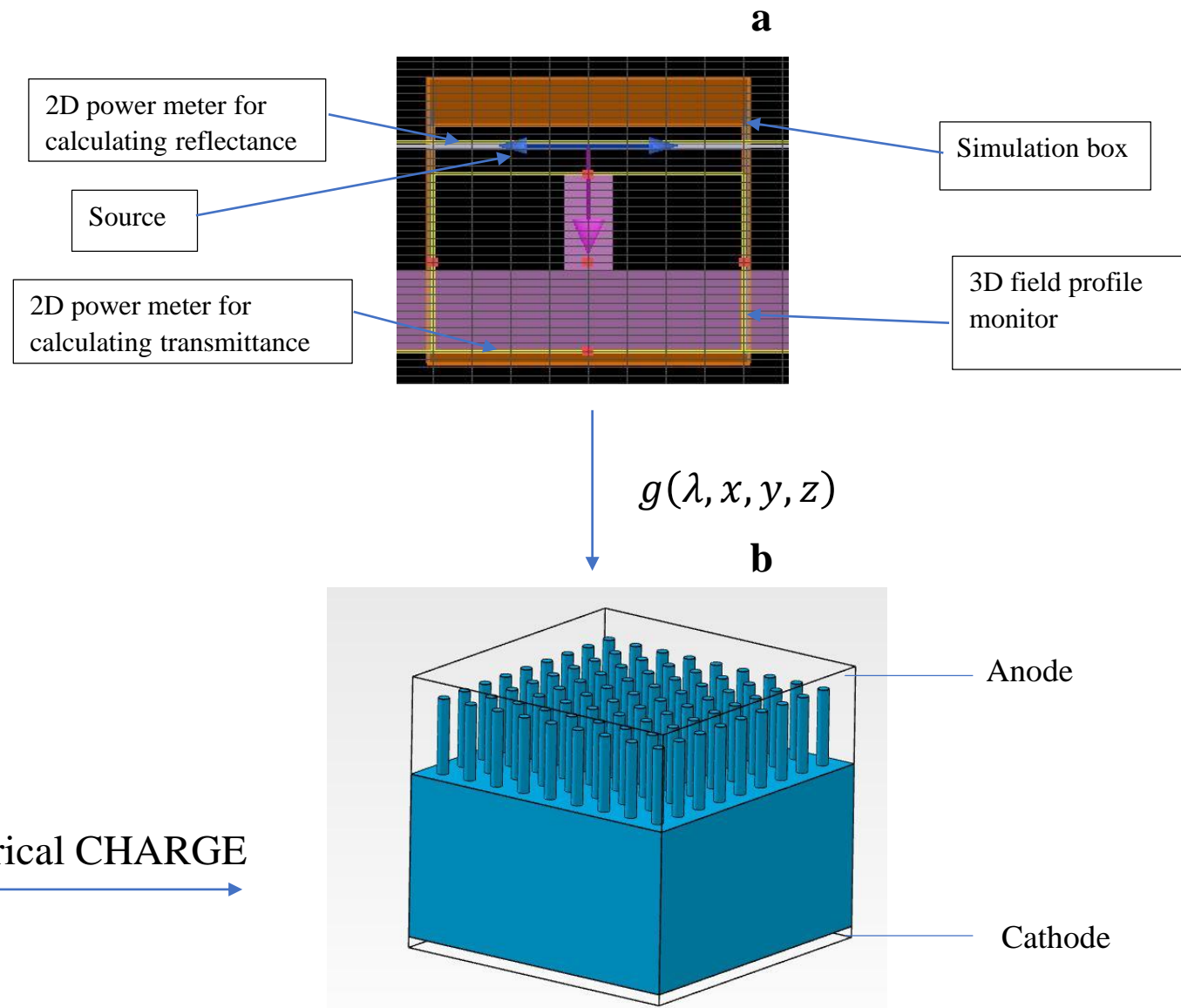


Figure: a) FDTD simulation setup b) CHARGE simulation setup for GaAs NPA.

Simulation Setup:

3. Photoelectron emission into vacuum through emission surface.

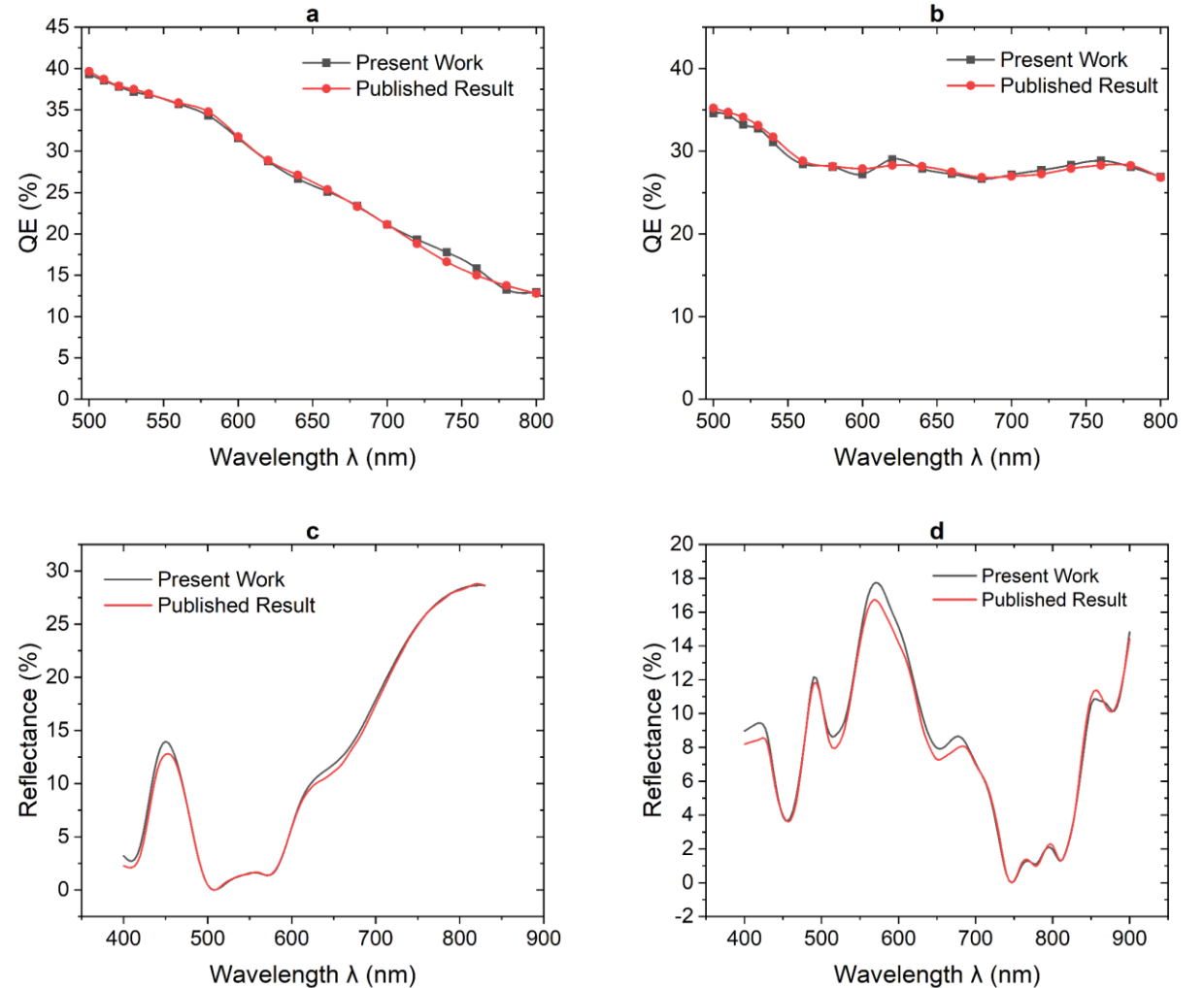
- $P_g(\lambda)$ and $P_t(\lambda)$ is calculated for bulk GaAs wafer using Lumerical FDTD and CHARGE tool.
- The emission probability P_e is obtained by fitting the P_g and P_t spectra to the published QE spectra of NEA GaAs flat wafer.

$$P_e(\lambda) = \frac{QE(\lambda)}{P_g(\lambda) \times P_t(\lambda)}$$

Finally, the QE is measured for studied nanostructure by,

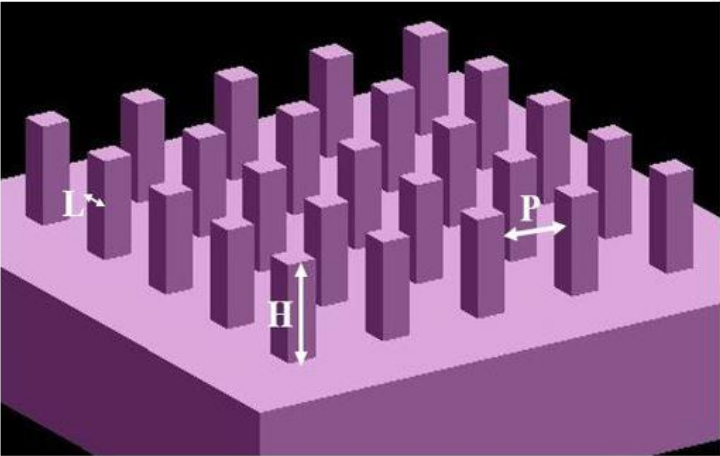
$$QE(\lambda) = P_g(\lambda) \times P_t(\lambda) \times P_e(\lambda)$$

N1 : *Diameter* =100 nm, *Height* =700 nm, *Period* =300 nm
 N2 : *Diameter* =160 nm, *Height* =1200 nm, *Period* =500 nm

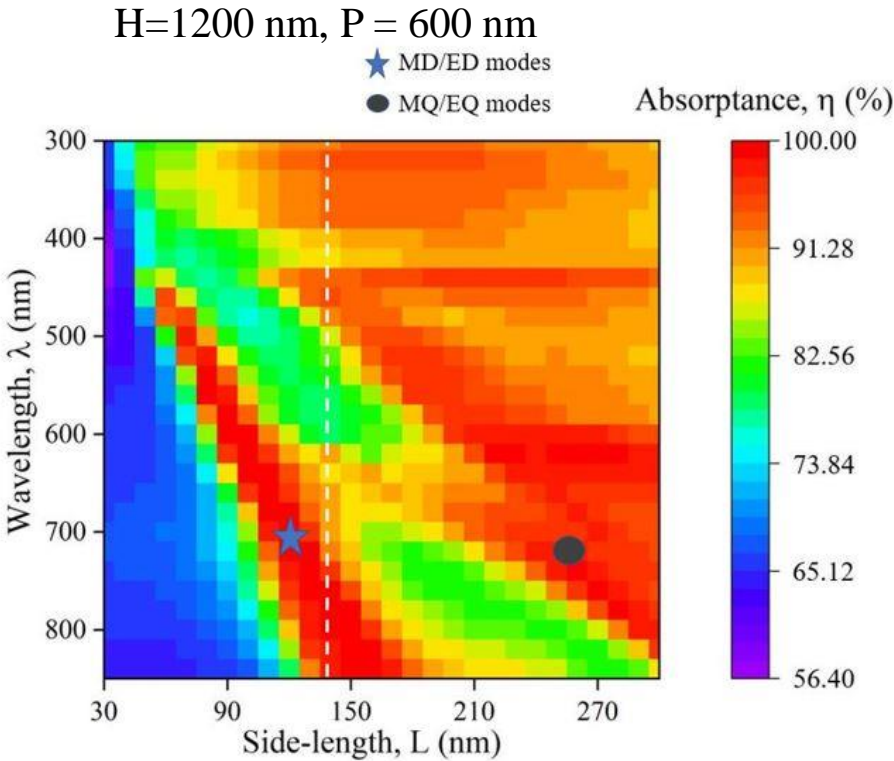


Simulation of Different Mie-type Nanostructures:
Simulation Result:

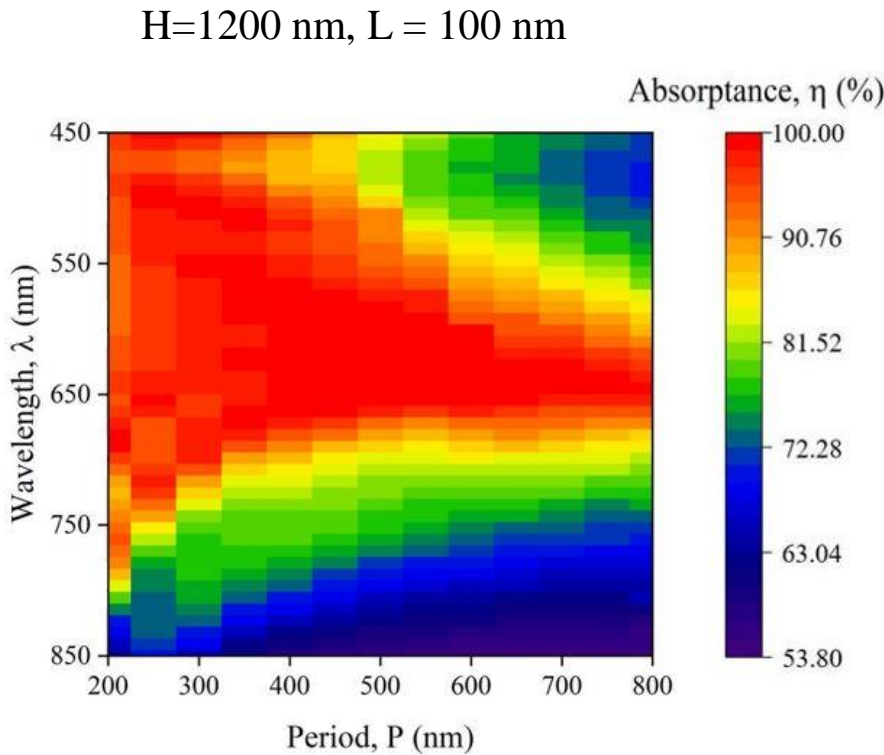
Nano-square column Array (NSCA):



a.



b.



c.

Figure: a) GaAs NSCA structure, 2D plot showing the variation of absorptance η with wavelength and (b) side-length L , and c) period P of nano-square column.

Nanosquare column Array (NSCA):

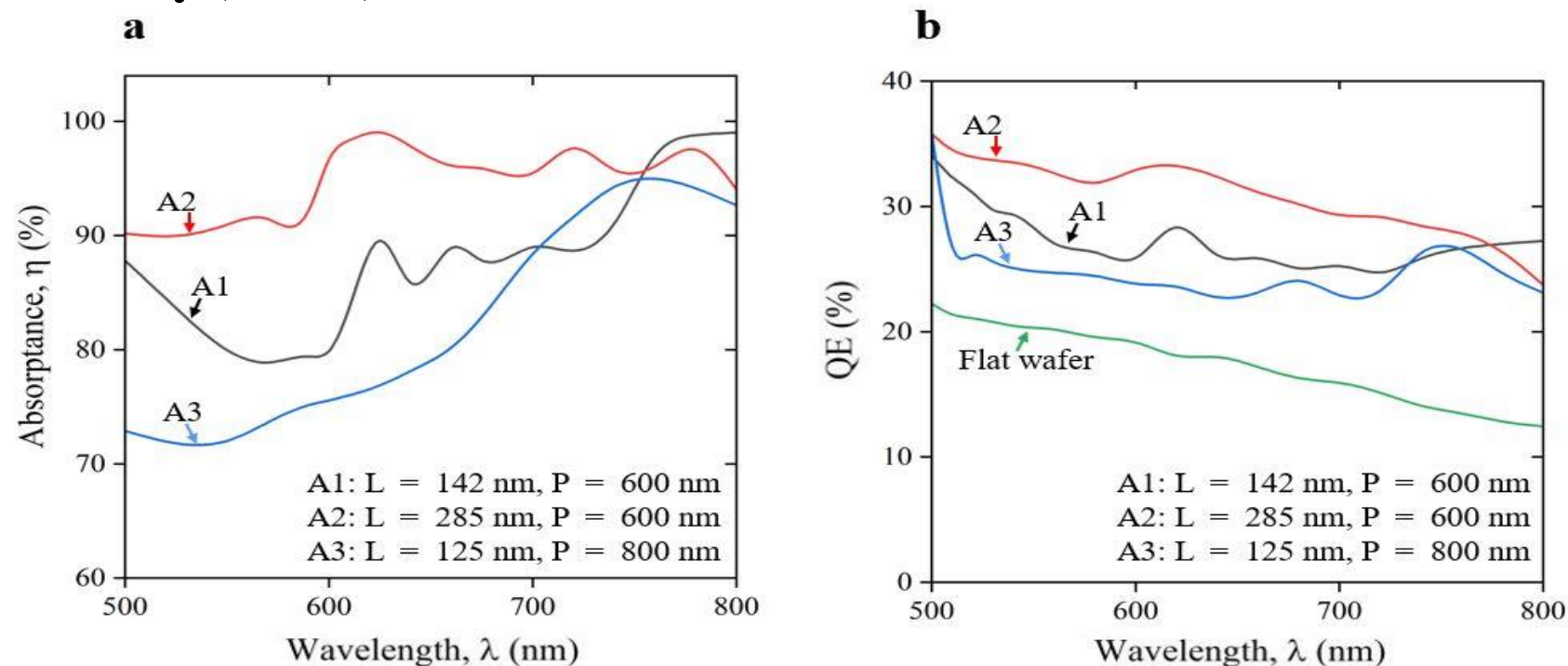


Figure: (a) Comparison of absorptance and (b) QE between different NEA GaAs NSCA and flat wafer photocathode [1], (c-d) excitation of magnetic dipole (MD) mode at 780 nm in A1 and (e-f) excitation of magnetic quadrupole (MQ) mode at 625 nm in A2.

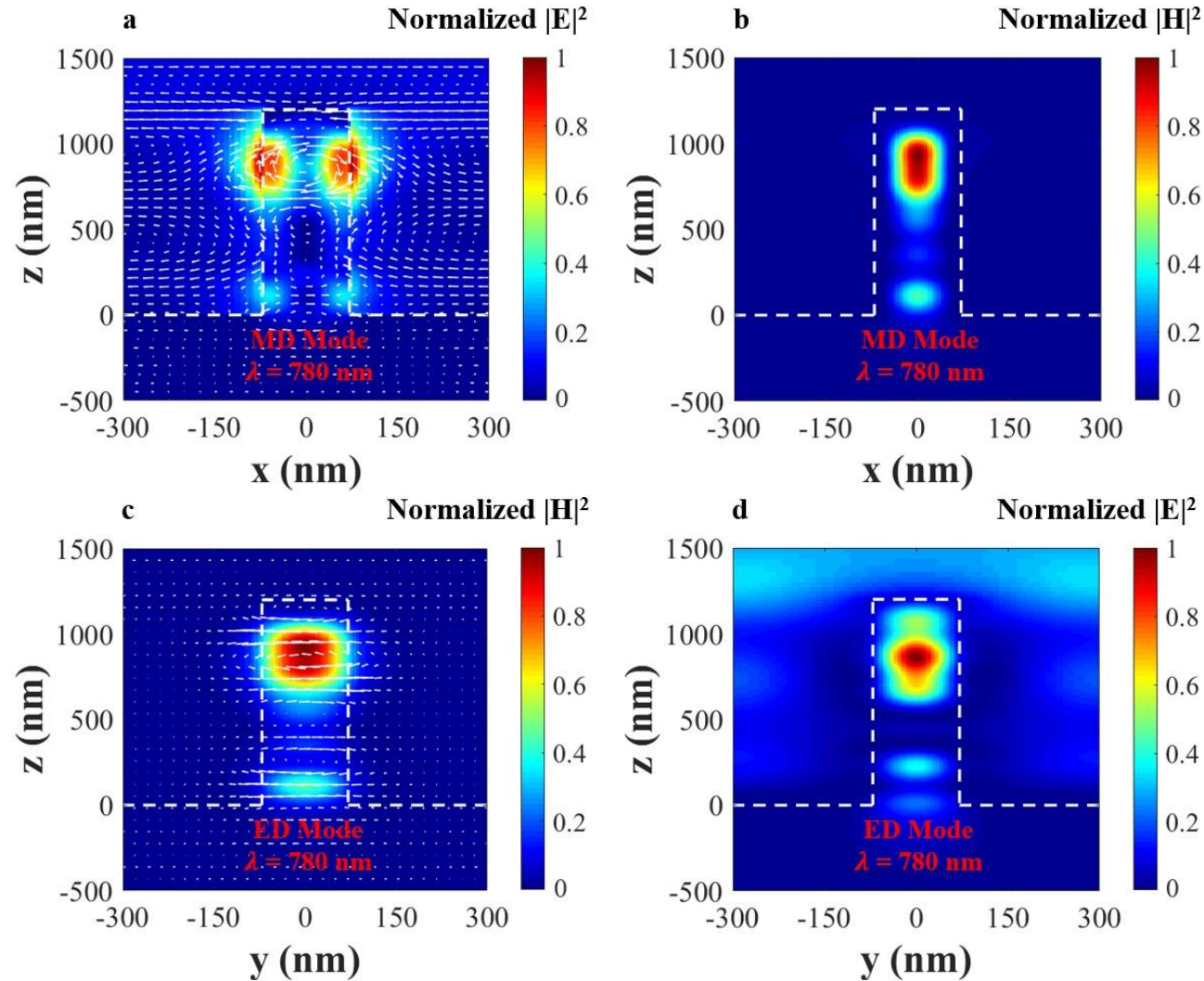
Table: Comparison of QE between three NSCA structures:

GaAs NSCA	Side-length L (nm)	Period P (nm)	Height H (nm)	Resonance Wavelength (nm)	QE at resonance	QE at 780 nm wavelength
A1	142	600	1200	~625 ~780	~28% (MD/ED) ~27% (MD/ED)	27.00% (MD/ED)
A2	285	600	1200	~625	~33% (MQ/EQ)	26.33% (MQ/EQ)
A3	125	800	1200	~750 ~680	~27% (MD/ED) ~24% (MD/ED)	24.67% (MD/ED)
Flat GaAs	-	-	-	-	-	~12.83% [1]

[1] The effects of ion bombardment on bulk GaAs photocathodes with different surface- cleavage planes, Phys. Rev. Accel. Beams **19**, 103402 (2016).

Nano-square column Array (NSCA):

Electric/Magnetic field profile distribution for A1 at 780 nm incident wavelength :



- $D_{eq} = \frac{2L}{\sqrt{\pi}} = 160.23$ nm.

- Mie resonance theory:

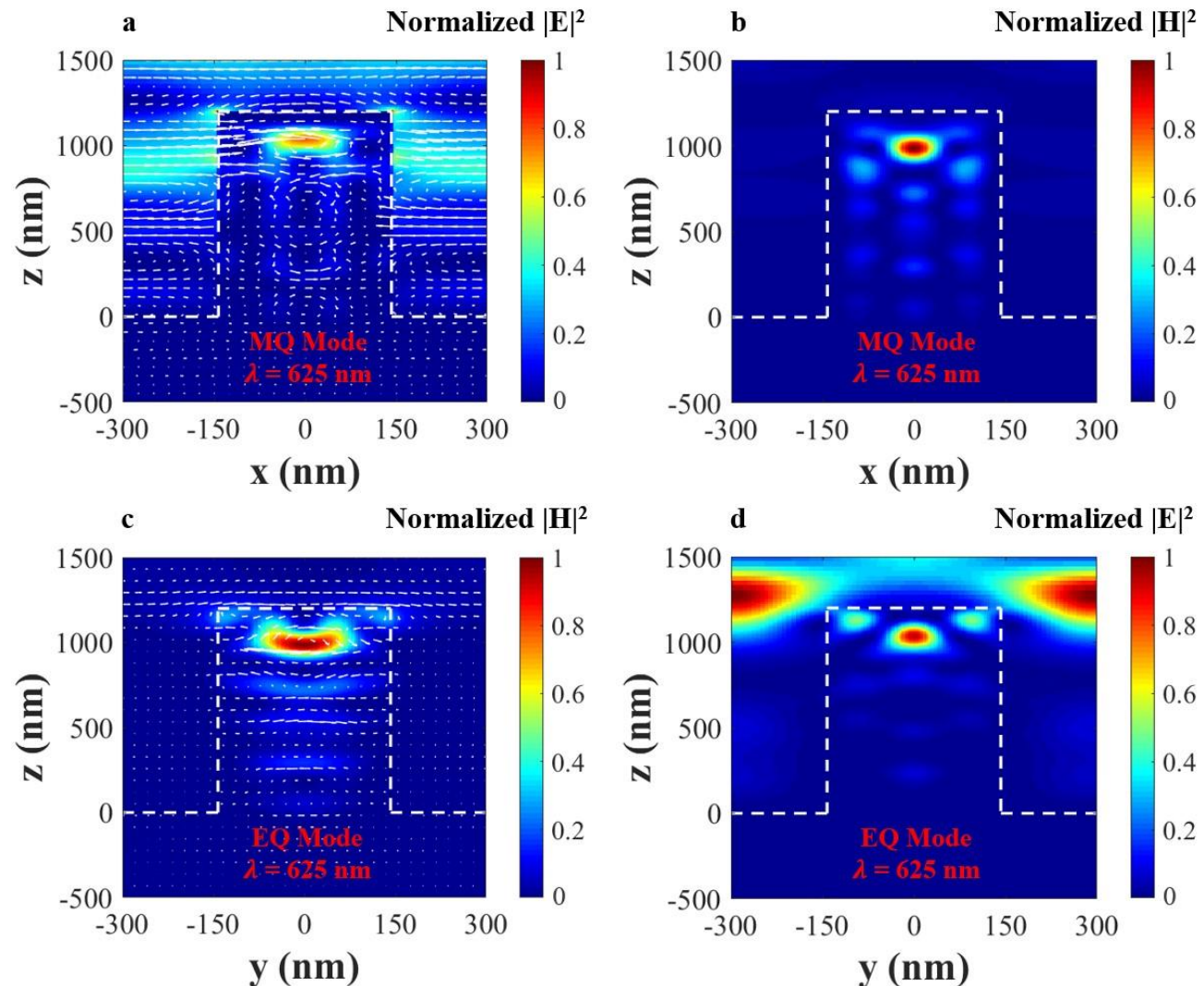
$$\frac{nD_{eq}}{\lambda} = 0.75 \approx 1 \text{ (MD/ED)}$$

- The intense field is observed within 50-100 nm of the emission surface.

Figure : a) $|E|^2$ distribution and field lines (white arrow), b) resonance enhanced $|H|^2$ c) $|H|^2$ distribution and field lines (white arrow) and d) resonance enhanced $|E|^2$ (squared) distribution for A1 at 780 nm.

Nano-square column Array (NSCA):

Electric/Magnetic field profile distribution for A2 at 625nm incident wavelength :



- The equivalent cylindrical diameter $D_{eq} = \frac{2L}{\sqrt{\pi}} = 321.59$ nm.
- Mie resonance theory:
$$\frac{nD_{eq}}{\lambda} = 1.99 \approx 2 \text{ (MQ/EQ)}$$
- The intense field is observed ~100-150 nm from the emission surface.

Figure : a) $|E|^2$ distribution and field lines (white arrow), b) resonance enhanced $|H|^2$ c) $|H|^2$ distribution and field lines (white arrow) and d) resonance enhanced $|E|^2$ distribution for A2.

Nanocone Array (NCA):

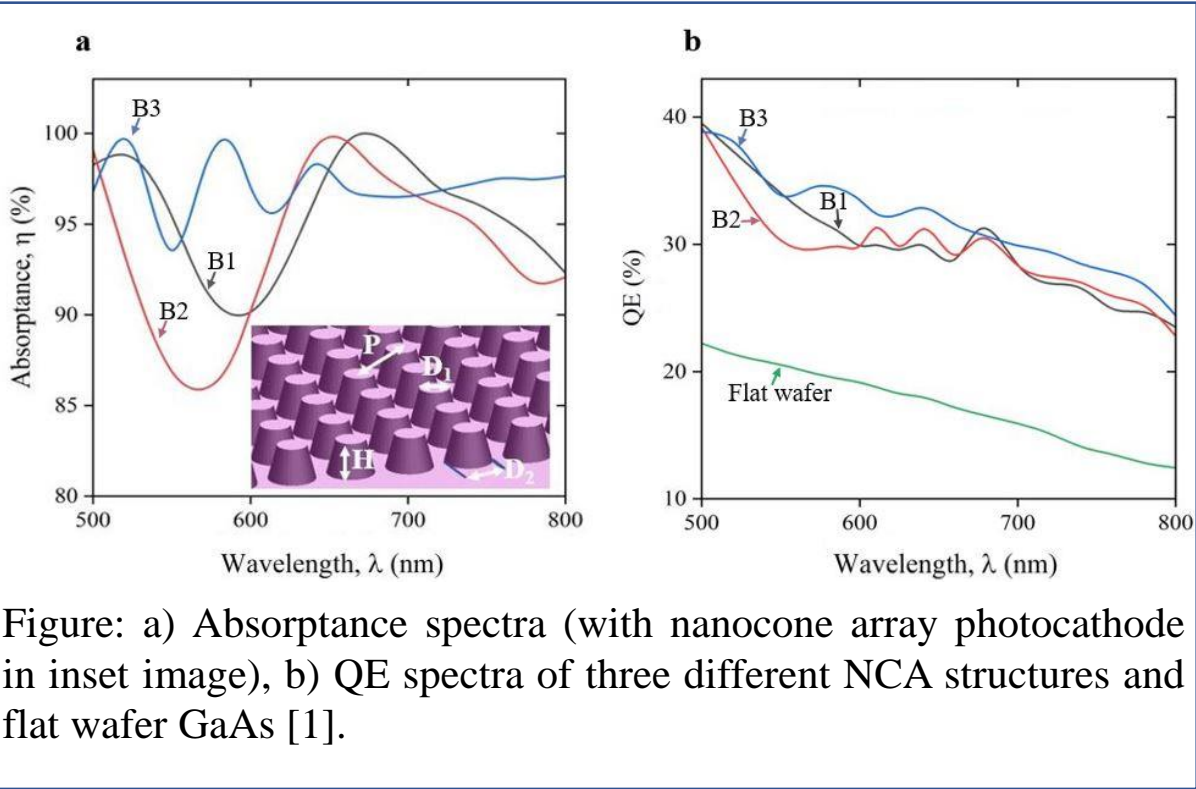


Table: Comparison of QE between three NCA structures:

GaAs NCA	Top Diameter D_1 (nm)	Base Diameter D_2 (nm)	Period P (nm)	Height H (nm)	Resonance Wavelength (nm)	QE at resonance	QE at 780 nm wavelength
B1	150	240	300	550	~675	~31.13% (MD/ED)	24.65% (MD/ED)
B2	150	200	300	550	~720	~29.15% (MD/ED)	25.16% (MD/ED)
B3	137	182	300	1400	~585	~34.22% (MD/ED)	26.83% (MD/ED)
Flat GaAs	-	-	-	-	-	-	~12.83% [1]

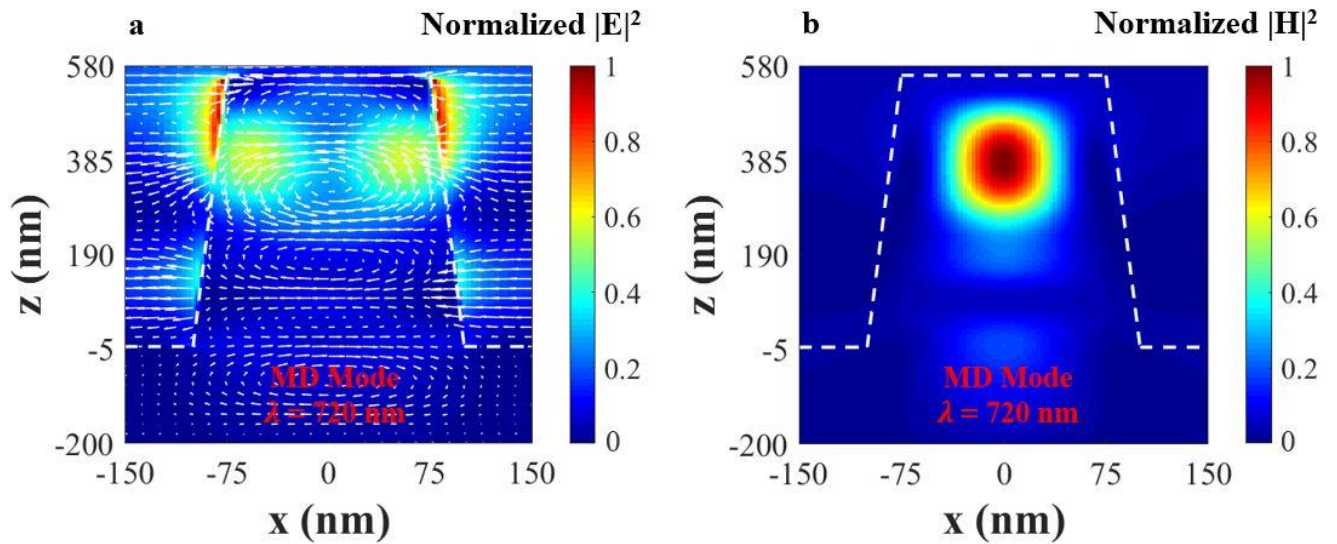


Figure : a) $|E|^2$ distribution and field lines (white arrow), b) resonance enhanced $|H|^2$ for B2 at 720 nm

[1] The effects of ion bombardment on bulk GaAs photocathodes with different surface- cleavage planes, Phys. Rev. Accel. Beams **19**, 103402 (2016).

Nanopyramid Array (NPyA):

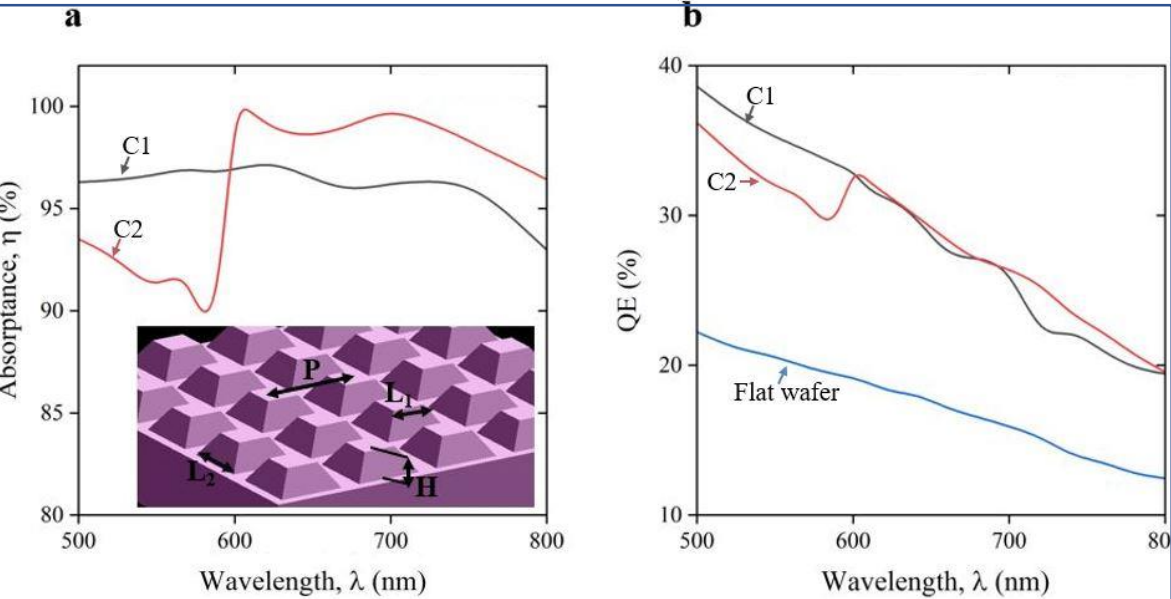


Figure: a) Absorptance spectra (with nanopyramid array photocathode in inset image), b) QE spectra of three different NPyA structures and flat wafer GaAs [a].

Table: Comparison of QE between two GaAs NPyA structures:

NCA	Top Side-Length L_1 (nm)	Base Side-Length L_2 (nm)	Height H (nm)	Period P (nm)	QE at resonance (%)
C1	150 nm	300 nm	550 nm	300 nm	31% at 625 nm 21% at 760 nm ~20% at 780 nm
C2	232 nm	464 nm	550 nm	600 nm	32% at 605 nm 26% at 710 nm ~21% at 780 nm

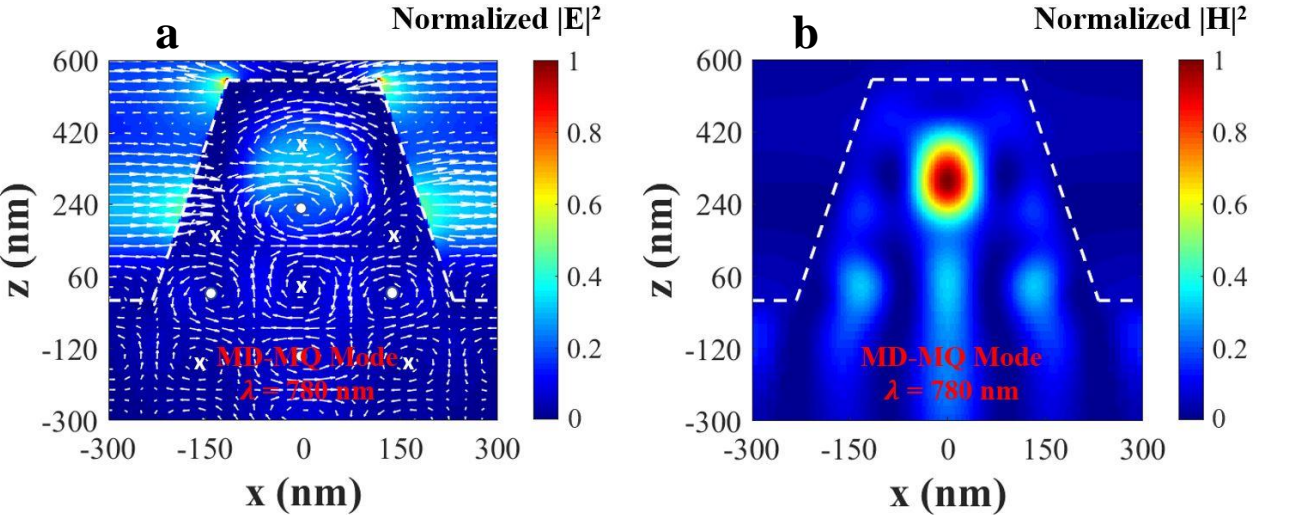


Figure : a) $|E|^2$ distribution and field lines (white arrow), b) resonance enhanced $|H|^2$ for C2 at 780 nm.

[a] The effects of ion bombardment on bulk GaAs photocathodes with different surface- cleavage planes, Phys. Rev. Accel. Beams **19**, 103402 (2016).

Goal:

- **QE measurement of remaining Nanopillar Array photocathodes**
- **Fabrication and testing of designed photocathodes**
- **Extending our work to spin polarized electron sources**

Thank you!

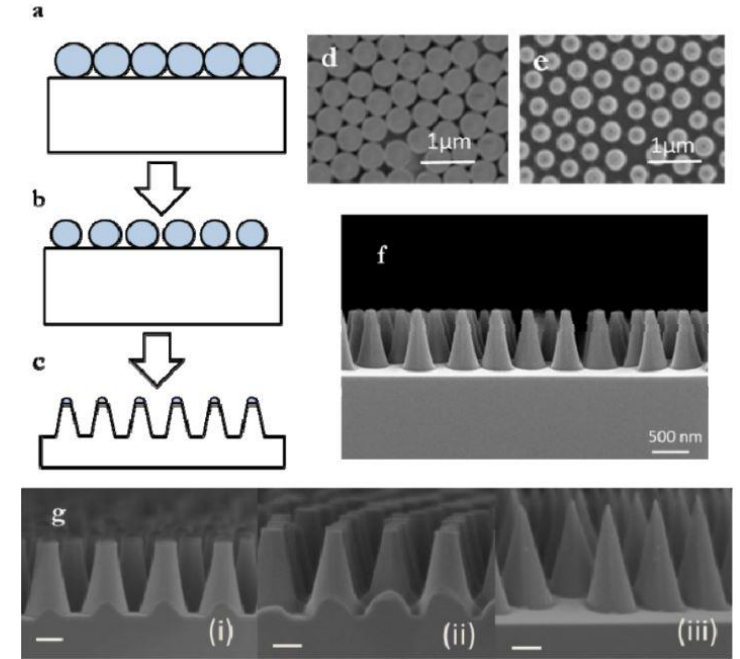


Figure: Fabrication of GaAs nanocone and truncated nanocone [a]