



# Phenomenology of helicity TMD: status and challenges

**Matteo Cerutti**

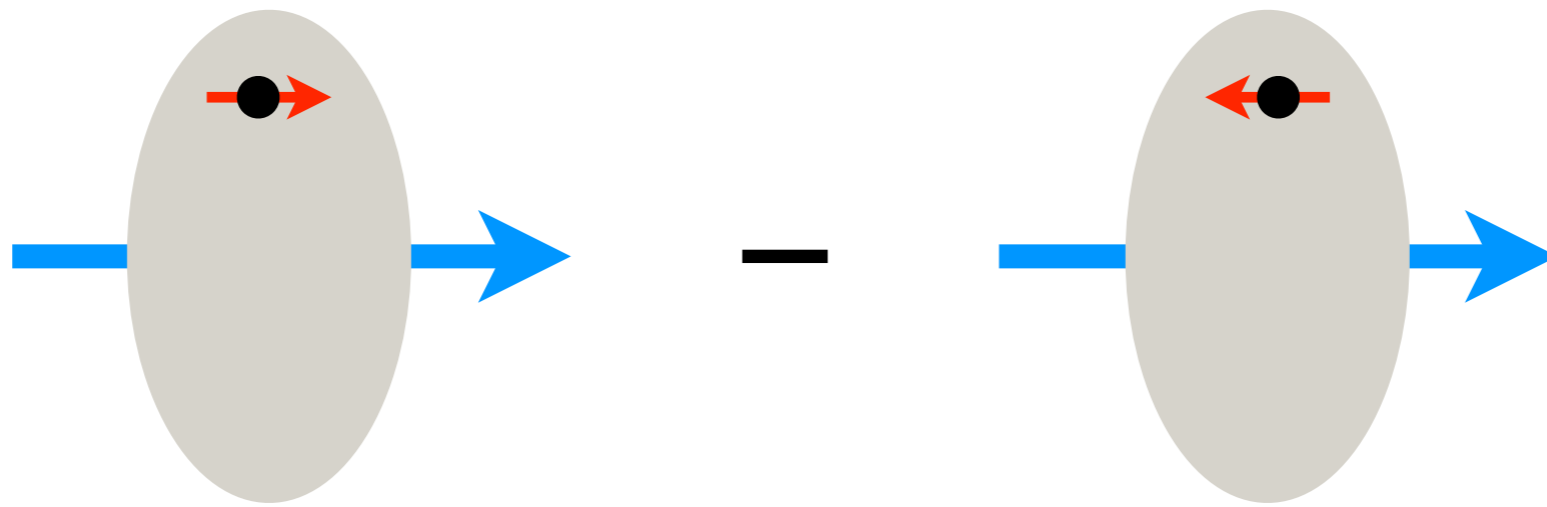
**MAP Collaboration**

**A. Bacchetta, A. Bongallino, M. Radici, L. Rossi**

arXiv: 2409.18078

# Helicity distribution

$$g_1^q(x) = q^+ - q^-$$



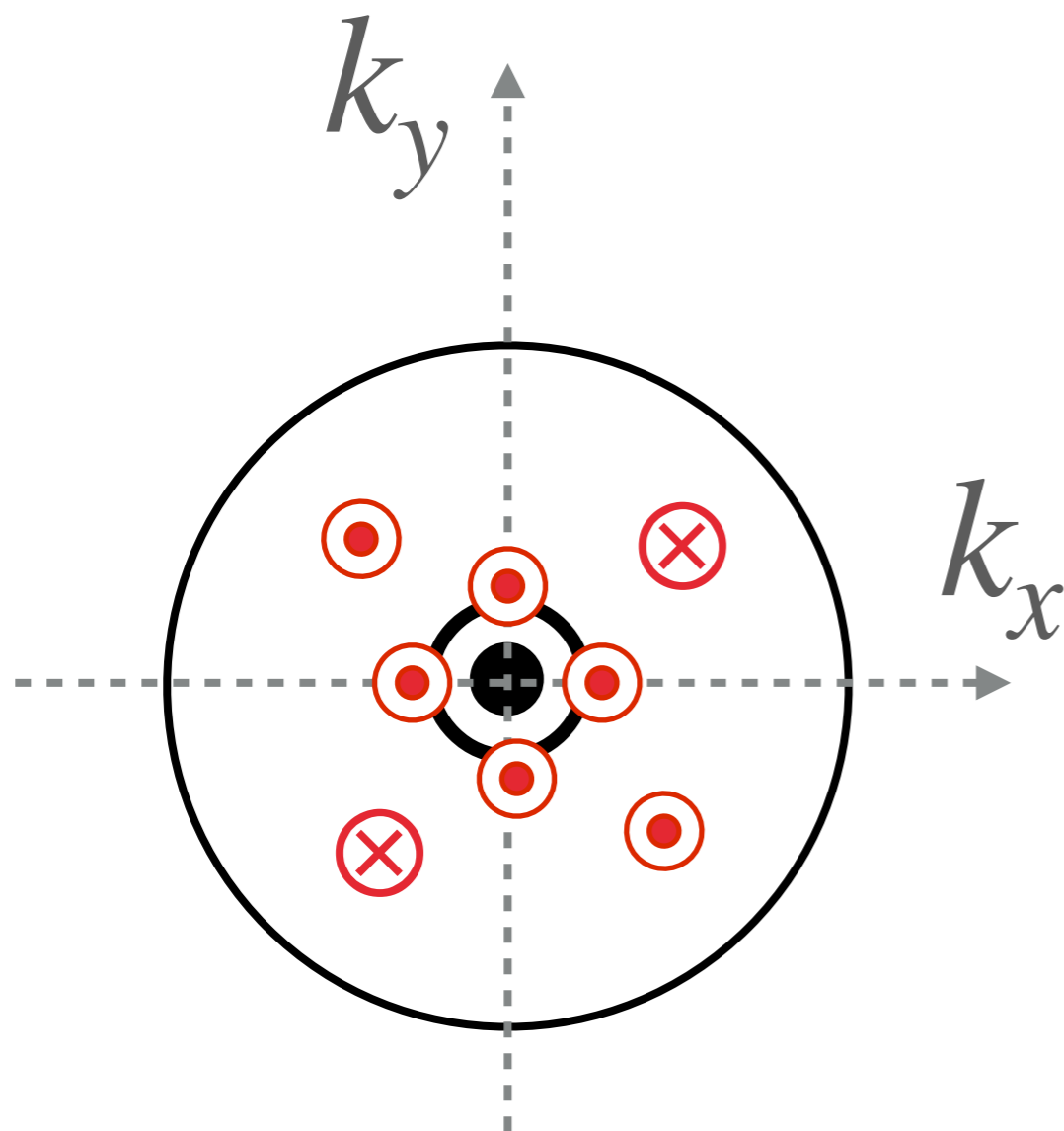
Quark Polarization

	U	L	T
U	$f_1(x)$		
L		$g_1(x)$	
T			$h_1(x)$

Nucleon Pol.

# Helicity TMD distribution

$$g_1^q(x, k_{\perp}) = q^+ - q^-$$



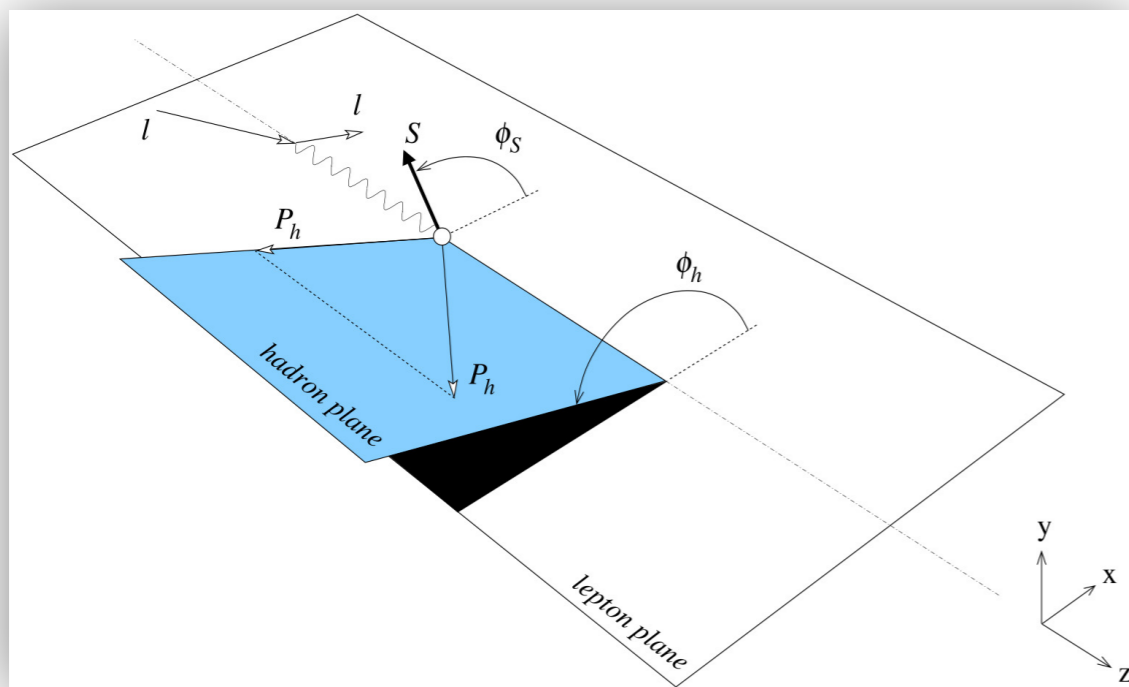
- ♦ How the polarization of the proton reflects on its internal structure in **3 dimensions**?
- ♦ How the polarization of the quark distorts their **transverse momentum**?
- ♦ Do quarks with spin parallel to the proton's spin have **smaller** or **larger** transverse momentum?

# Experimental Observables

Analysis of longitudinally polarized process

## SIDIS

$$\ell^{\vec{\zeta}}(l) + N^{\leftrightarrow}(P) \rightarrow \ell(l') + h(P_h) + X$$



## DOUBLE SPIN ASYMMETRY

$$A_1 = \frac{d\sigma^{\rightarrow\leftarrow} - d\sigma^{\rightarrow\rightarrow} + d\sigma^{\leftarrow\rightarrow} - d\sigma^{\leftarrow\leftarrow}}{d\sigma^{\rightarrow\leftarrow} + d\sigma^{\rightarrow\rightarrow} + d\sigma^{\leftarrow\rightarrow} + d\sigma^{\leftarrow\leftarrow}}$$

# Interpretation in terms of TMDs

## TMD factorization

$$A_1(x, z, Q, |\mathbf{P}_{hT}|) = \frac{\sum_{a=q, \bar{q}} e_a^2 \int_0^{+\infty} d|\mathbf{b}_T|^2 J_0\left(\frac{|\mathbf{b}_T| |\mathbf{P}_{hT}|}{z}\right) \hat{g}_1^a(x, |\mathbf{b}_T|^2, Q) \hat{D}_1^{a \rightarrow h}(z, |\mathbf{b}_T|^2, Q)}{\sum_{a=q, \bar{q}} e_a^2 \int_0^{+\infty} d|\mathbf{b}_T|^2 J_0\left(\frac{|\mathbf{b}_T| |\mathbf{P}_{hT}|}{z}\right) \hat{f}_1^a(x, |\mathbf{b}_T|^2, Q) \hat{D}_1^{a \rightarrow h}(z, |\mathbf{b}_T|^2, Q)}$$

- ◆ Large energy scale  $Q^2 \gg M^2$
  - ◆ Small transverse momentum  $q_T^2 \ll Q^2$
- ⇒ Experimental observables in terms of universal objects

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unpolarized TMDs from MAP22 extraction

MAP Collaboration, Bacchetta et al., JHEP 10 (2022)

# Parameterization

Collins, Soper, Sterman, Nucl. Phys. B (1985)

Collins, *Foundations of perturbative QCD* (2011)

The evolution of the TMDs follows the CSS approach **consistently**:

$$\hat{f}_1(x, |\mathbf{b}_T|^2, Q) = [C^f \otimes f_1](x, b_\star(|\mathbf{b}_T|^2)) f_{NP}(x, |\mathbf{b}_T|^2, Q_0) e^{S(\mu_{b_\star}^2, Q^2)} e^{g_K(\mathbf{b}_T) \ln(Q^2/Q_0^2)}$$

Analogously for  $D_1(z, |\mathbf{b}_T|^2, Q)$

$$\hat{g}_1(x, |\mathbf{b}_T|^2, Q) = [C^g \otimes g_1](x, b_\star(|\mathbf{b}_T|^2)) g_{NP}(x, |\mathbf{b}_T|^2, Q_0) e^{S(\mu_{b_\star}^2, Q^2)} e^{g_K(\mathbf{b}_T) \ln(Q^2/Q_0^2)}$$



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$$g_{NP}(x, \mathbf{k}_\perp^2, Q_0) = f_{NP}^{MAP22}(x, \mathbf{k}_\perp^2, Q_0) \frac{e^{-\frac{k_\perp^2}{\omega_1(x)}}}{k_{norm}(x)}$$

Simple Gaussian  $\times$  MAP22

# Positivity constraint

$$g_{NP}(x, \mathbf{k}_{\perp}^2, Q_0) = f_{NP}^{MAP22}(x, \mathbf{k}_{\perp}^2, Q_0) \frac{e^{-\frac{k_{\perp}^2}{\omega_1(x)}}}{k_{norm}(x)}$$

- Proportional to  $f_{NP}^{MAP22}$
- x-dependent

$$k_{norm}(x) \rightarrow \int d^2\mathbf{k}_{\perp} g_{NP} = 1$$

$$\omega_1(x) \rightarrow \text{crucial to satisfy } |g_1| \leq f_1$$

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At  $Q_0 = 1$  GeV, the ratio  $g_1/f_1$  reads:

$$\frac{g_1(x, \mathbf{k}_\perp^2, Q_0)}{f_1(x, \mathbf{k}_\perp^2, Q_0)} = \frac{g_1(x, Q_0)}{f_1(x, Q_0)} \frac{e^{-\frac{k_\perp^2}{\omega_1(x)}}}{k_{norm}(x)} \xrightarrow{|\mathbf{k}_\perp| \rightarrow 0} \infty$$

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$$g_{NP}(x, \mathbf{k}_\perp^2, Q_0) = f_{NP}^{MAP22}(x, \mathbf{k}_\perp^2, Q_0) \frac{e^{-\frac{k_\perp^2}{\omega_1(x)}}}{k_{norm}(x)}$$

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$$\frac{g_1(x, Q_0)}{f_1(x, Q_0)} \frac{1}{k_{norm}(x)} \leq 1 \longrightarrow$$

$$\omega_1(x) = f_{pos.}(x) + N_{1g}^2 \frac{(1-x)^{\alpha_{1g}^2} x^{\sigma_{1g}}}{(1-\hat{x})^{\alpha_{1g}^2} \hat{x}^{\sigma_{1g}}}$$

# Phenomenology: STATUS

Airapetian et al. (HERMES), Phys. Rev. D (2019)

Experiment	$N_{\text{dat}}$	$\chi_{\text{NLL}}^2/N_{\text{dat}}$	$\chi_{\text{NNLL}}^2/N_{\text{dat}}$
HERMES ( $d \rightarrow \pi^+$ )	47	1.34	1.30
HERMES ( $d \rightarrow \pi^-$ )	47	1.10	1.08
HERMES ( $d \rightarrow K^+$ )	46	1.26	1.25
HERMES ( $d \rightarrow K^-$ )	45	0.93	0.89
HERMES ( $p \rightarrow \pi^+$ )	53	1.17	1.21
HERMES ( $p \rightarrow \pi^-$ )	53	0.86	0.86
Total	291	1.11	1.09

- ◆ MAP22 kinematic cuts
- ◆ 291 fitted data points
- ◆ Perturbative order: **NLO**

- ◆ Collinear PDFs: NNPDFPol, MMHT, DSS
- ◆ Perturbative accuracy: **NLL & N2LL**
- ◆ 3 fitted parameters
- ◆ Error analysis with bootstrap method

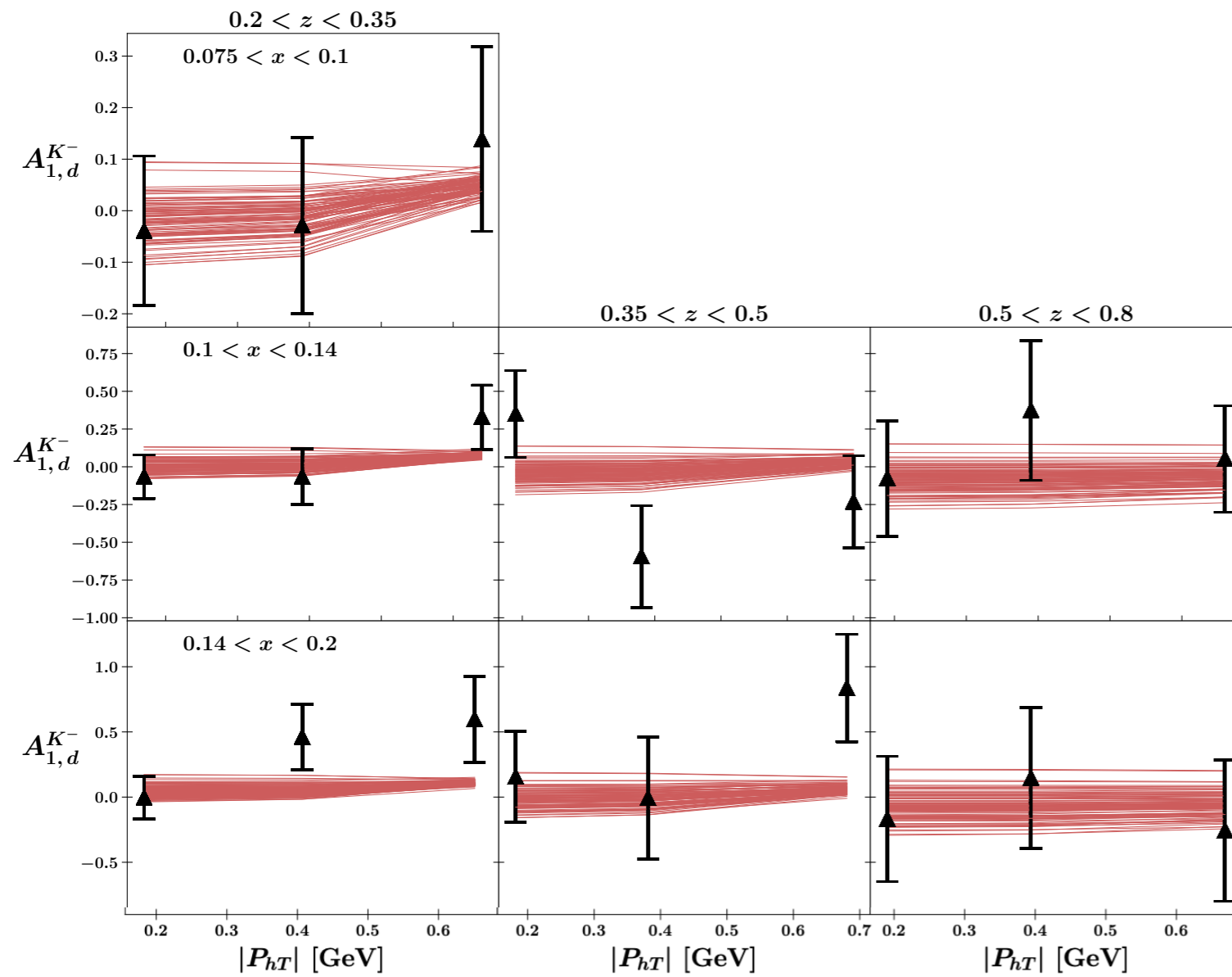
Highest possible

since  $C^8$  known up to NLO

Gutiérrez-Reyes et al., Phys. Lett. B (2017)

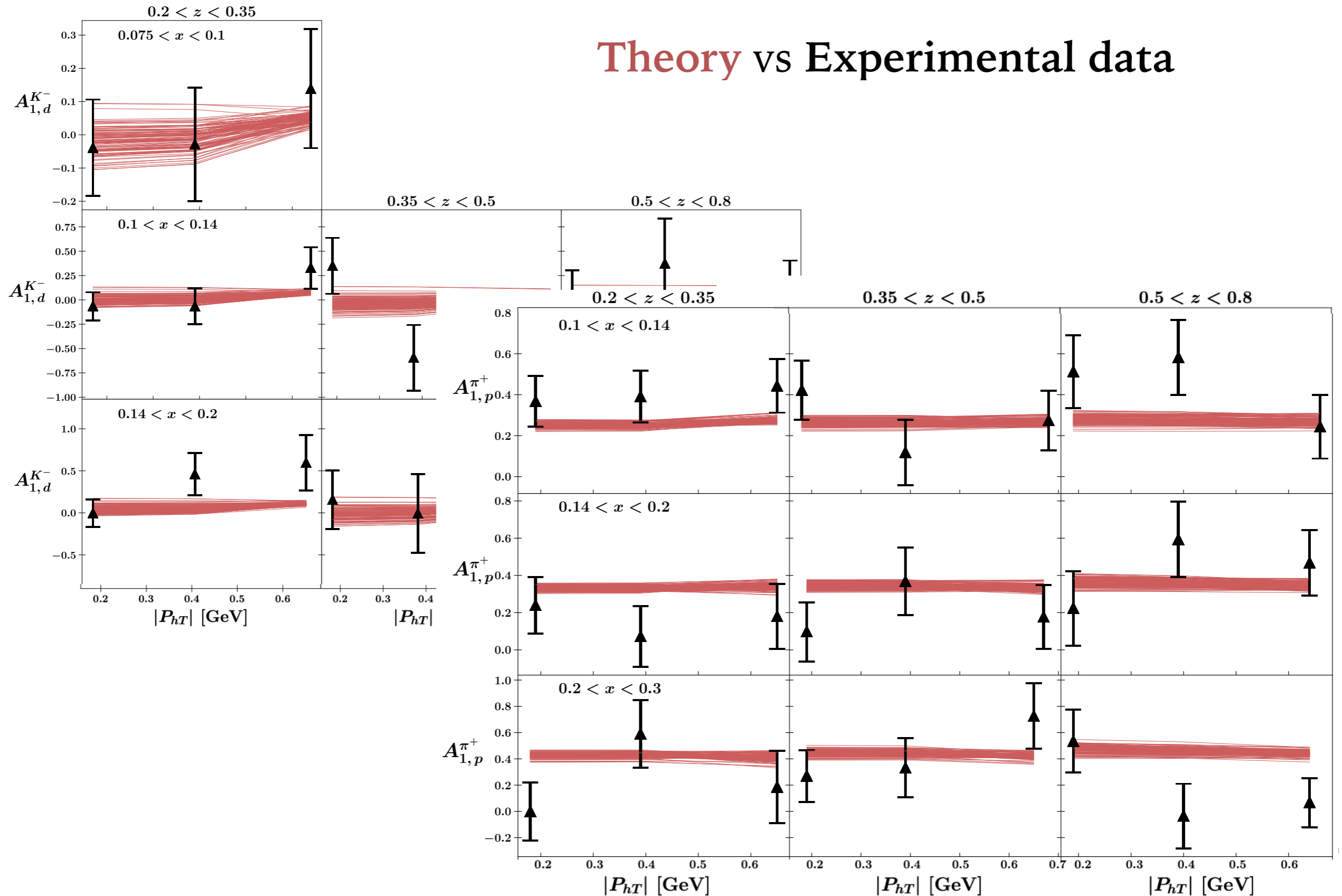
# Phenomenology: STATUS

Experimental data



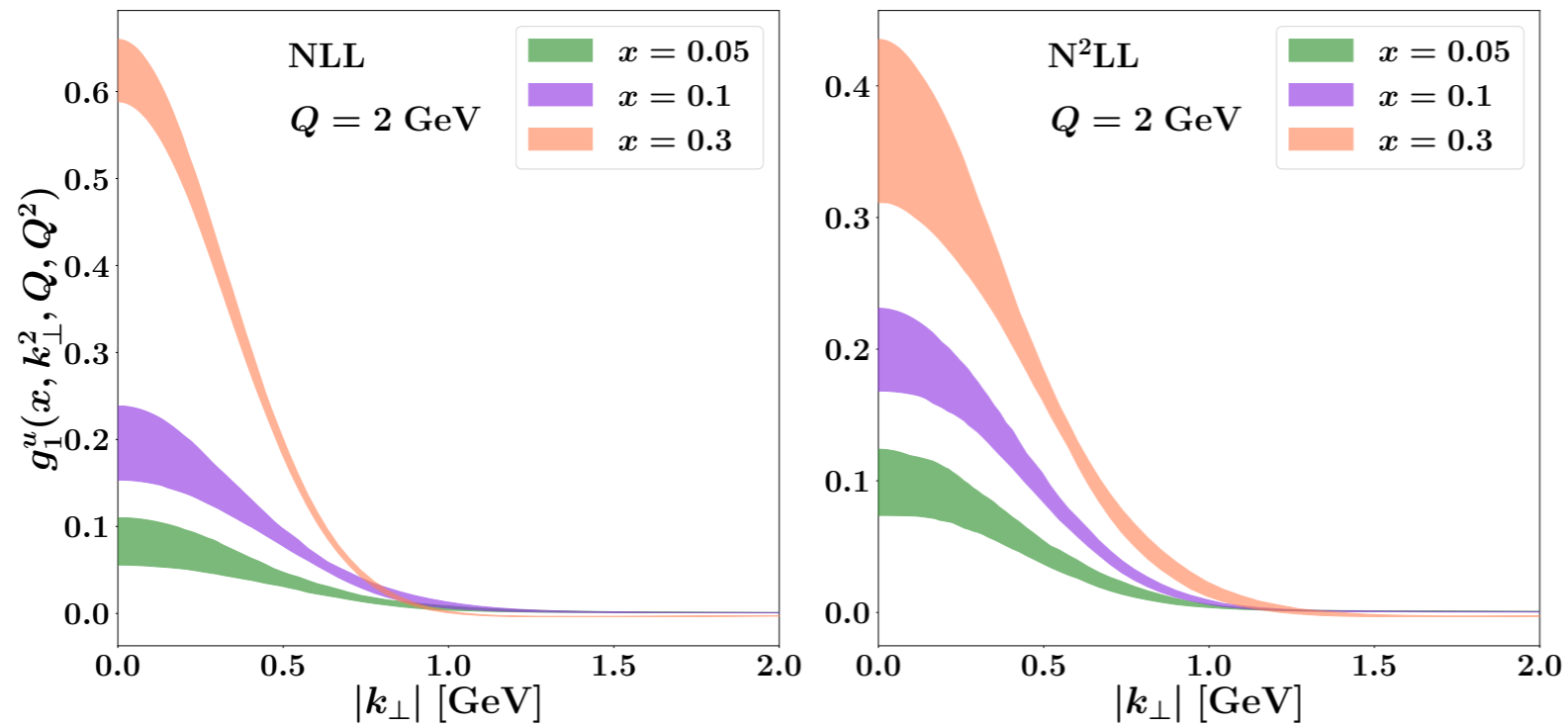
# Phenomenology: STATUS

## Theory vs Experimental data



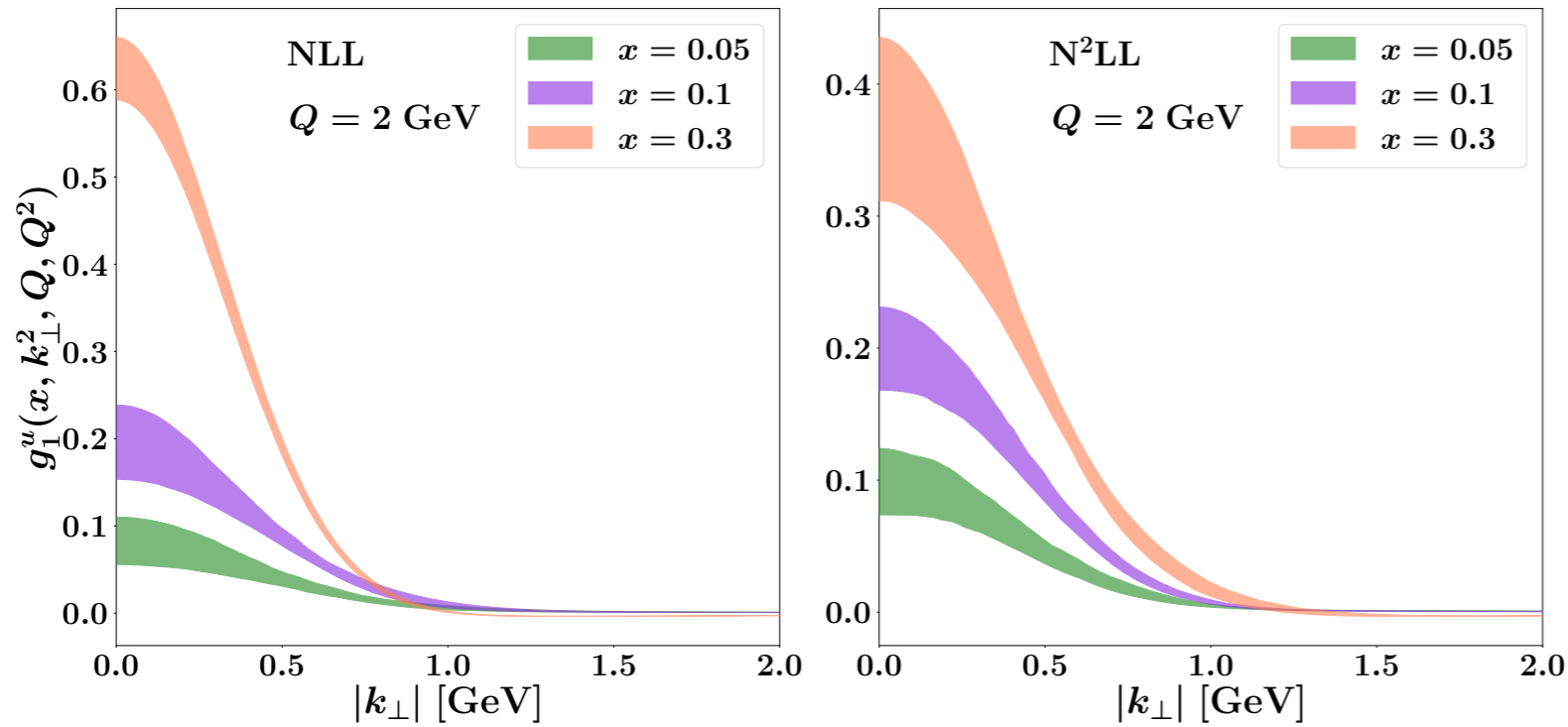


# Phenomenology: STATUS



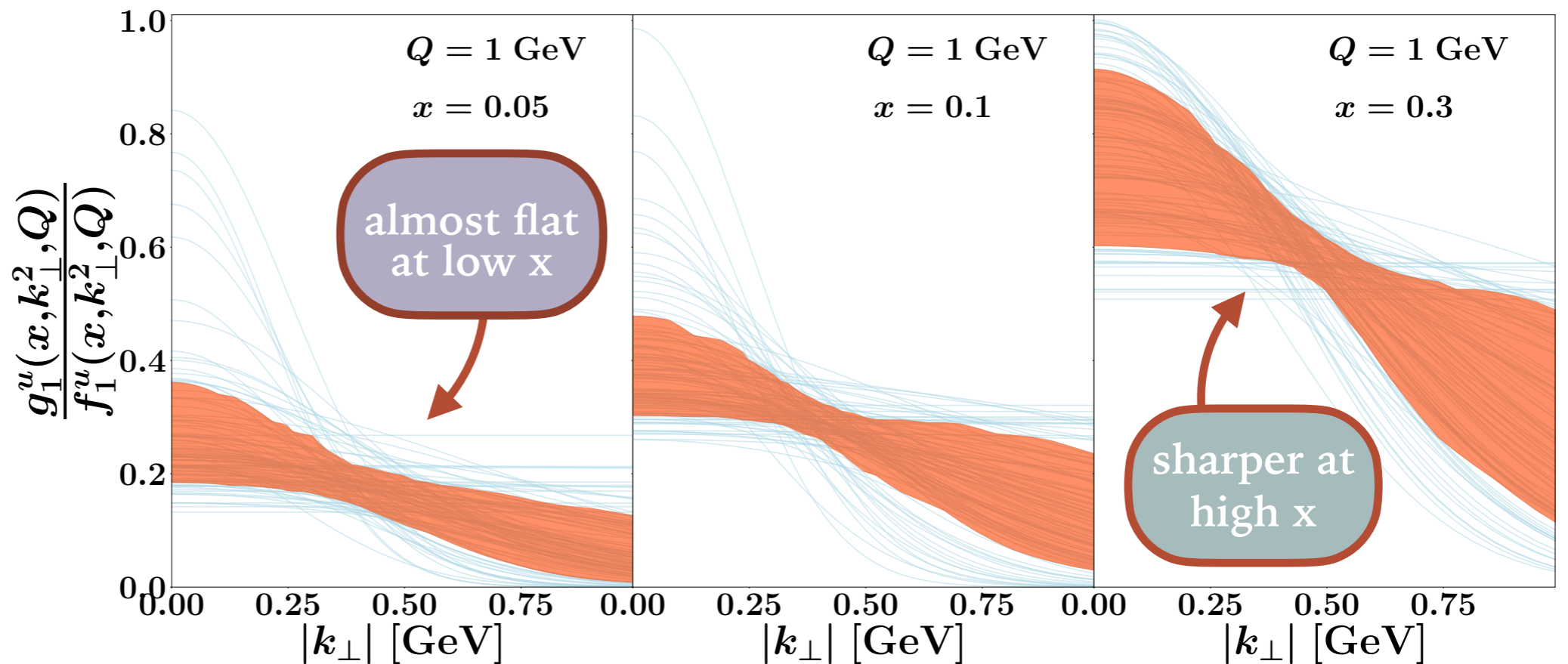
Extracted helicity  
TMDs

# Phenomenology: STATUS

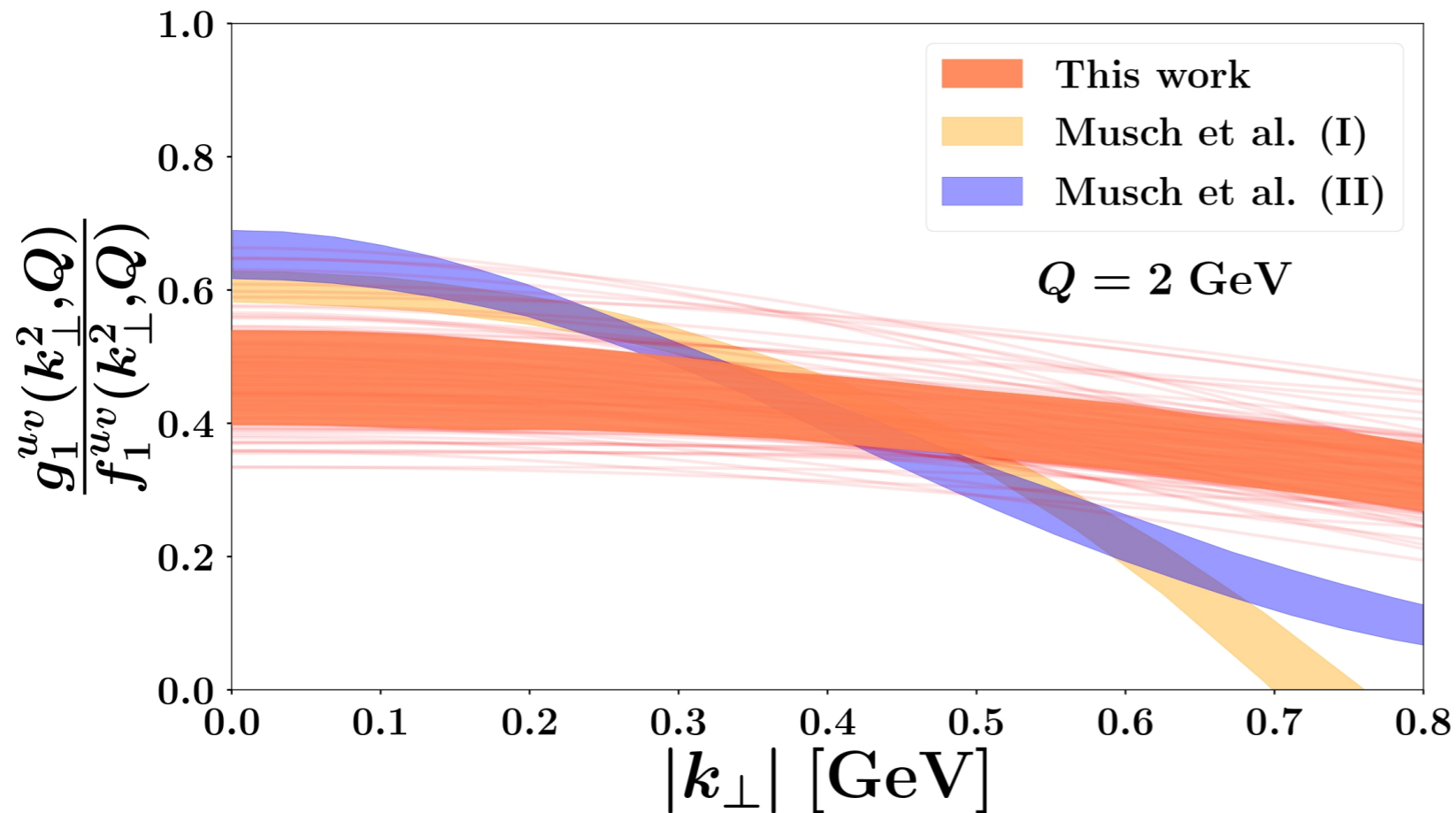


Extracted helicity  
TMDs

Helicity  
ratio



# Phenomenology: STATUS



## Comparison to lattice calculation

Musch et al., Phys. Rev. D (2011)

- ♦  $g_1/f_1$  TMD ratio for  $u_v$ , integrated over  $x$ , at NNLL
- ♦ Yellow and blue bands correspond to two lattice predictions
- ♦ Milder slope but fair agreement

# Phenomenology: CHALLENGES

- Not many constraints on fitted parameters

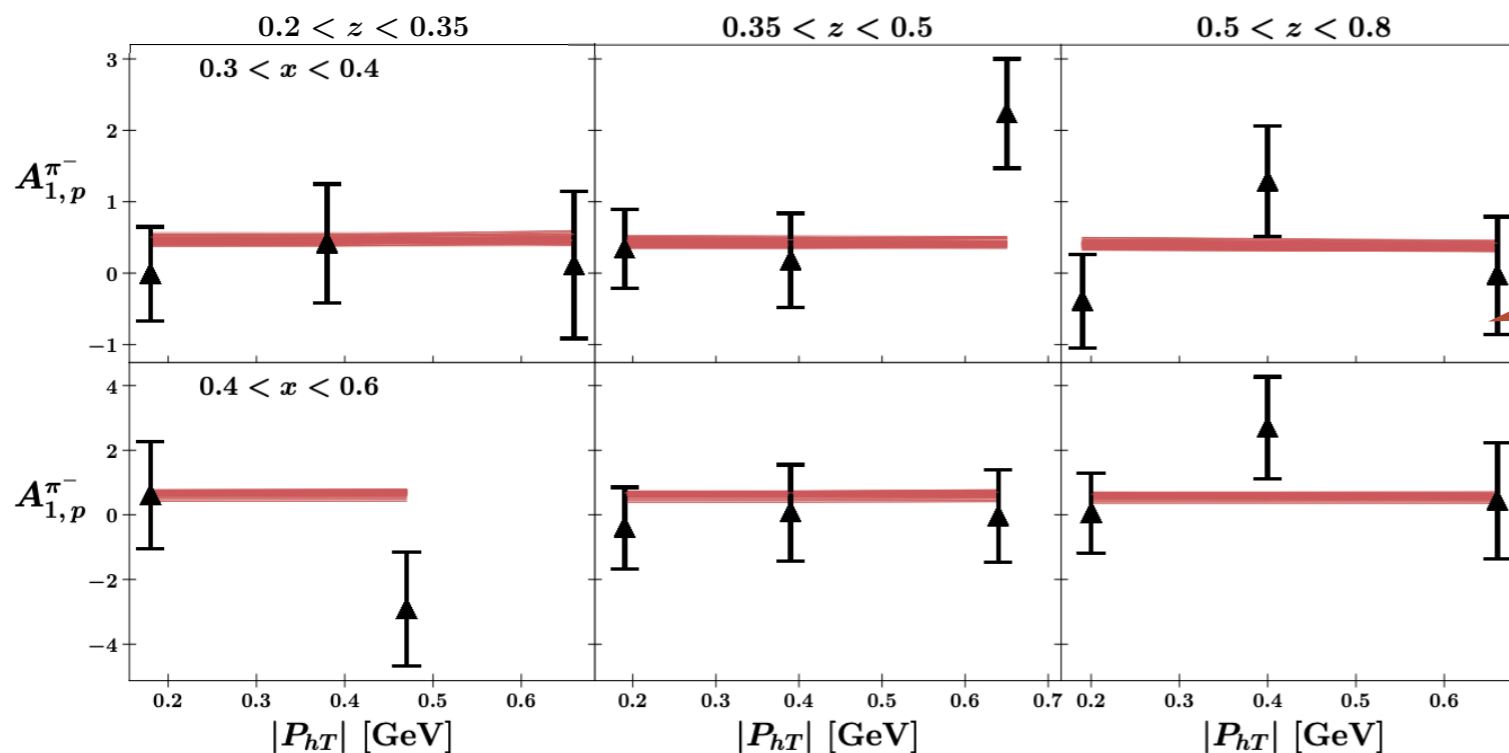
Parameters	$N_{1g}$	$\alpha_{1g}$	$\sigma_{1g}$
NLL	$0.70 \pm 0.54$	$27.81 \pm 27.70$	$0.42 \pm 0.86$
NNLL	$0.87 \pm 0.72$	$6.73 \pm 6.58$	$3.04 \pm 3.09$

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- Experimental asymmetries  $\geq 1$  in several bins



What does it happen at large x?

Need of **precise** data from JLab 22 GeV upgrade

Backup

# MAP TMD fitting framework

<https://github.com/MapCollaboration/NangaParbat>



☰ README.md



Nanga Parbat is a fitting framework aimed at the determination of the non-perturbative component of TMD distributions.

## Download

You can obtain NangaParbat directly from the github repository:

<https://github.com/MapCollaboration/NangaParbat>

For the last development branch you can clone the master code:

```
git clone git@github.com:MapCollaboration/NangaParbat.git
```

# MAPTMD22 global fit: features

- Global analysis of Drell-Yan and SIDIS data sets: **2031** data points
- Perturbative accuracy:  $N^3LL^-$
- **Normalization prefactor** for SIDIS observables
- Number of fitted parameters: **21**
- Agreement with data:  $\chi^2/N_{data} = 1.06$



# Structure of a TMD

TMD in Fourier space

$$\hat{F}(x, b_T^2; \mu, \zeta) = \int \frac{d^2 \mathbf{k}_\perp}{(2\pi)^2} e^{i \mathbf{b}_T \cdot \mathbf{k}_\perp} F(x, k_\perp^2; \mu, \zeta)$$

Collinear extractions

$b_*$ -prescription

$$\hat{f}_1^q(x, b_T^2; \mu, \zeta) = \sum_j \boxed{C_{q/j}(x, b_*; \mu_{b_*}, \mu_{b_*}^2)} \otimes \boxed{f_1^j(x, \mu_{b_*})} \quad : A$$

Perturbative TMD at the initial scale

$$\times \exp \left\{ \boxed{K(b_*; \mu_{b_*})} \ln \frac{\sqrt{\zeta}}{\mu_{b_*}} + \int_{\mu_{b_*}}^{\mu} \frac{d\mu'}{\mu'} \left[ \boxed{\gamma_F} - \boxed{\gamma_K} \ln \frac{\sqrt{\zeta}}{\mu'} \right] \right\} \quad : B$$

Perturbative

Evolution to final scale (of the process)

$$\times \boxed{f_{NP}(x, b_T^2)} \exp \left\{ \boxed{g_K(b_T^2)} \ln \frac{\sqrt{\zeta}}{\sqrt{\zeta_0}} \right\} \quad : C$$

Non-perturbative part of the TMD

Parameterization

# MAP22: Perturbative accuracy

## Resummation of large logs

$$S_{\text{pert}}(\mu_b, \mu) = 1 + \sum_{k=0}^{\infty} R_{N^k \text{LL}}$$

$$S_{\text{pert}}(\mu_b, \mu) = 1 + \sum_{k=0}^{\infty} \sum_{n=1+[k/2]}^{\infty} \left( \frac{\alpha_S(\mu)}{4\pi} \right)^n \sum_{k=1}^{2n} L^{2n-k} R^{(n, 2n-k)} \quad L = \ln \left( \frac{\mu^2}{\mu_b^2} \right)$$

Accuracy	$H$ and $C$	$K$ and $\gamma_F$	$\gamma_K$	PDF/FF and $\alpha_s$ evol.
LL	0	-	1	-
NLL	0	1	2	LO
NLL'	1	1	2	NLO
NNLL	1	2	3	NLO
NNLL'	2	2	3	NNLO
<b>N<sup>3</sup>LL<sup>-</sup></b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>NNLO/NLO</b>
N <sup>3</sup> LL	2	3	4	NNLO
N <sup>3</sup> LL'	3	3	4	N <sup>3</sup> LO

# MAP22: NP parametrization

$$f_{NP}(x, b_T^2) \exp \left\{ g_K(b_T^2) \ln \frac{\sqrt{\zeta}}{\sqrt{\zeta_0}} \right\}$$

Bacchetta, Gamberg, Goldstein, et al., PLB 659 (2008)

Bacchetta, Conti, Radici, PRD 78 (2008)

Pasquini, Cazzaniga, Boffi, PRD 78 (2008)

Matevosyan, Bentz, Cloet, Thomas, PRD 85 (2012)

Burkardt, Pasquini, EPJA (2016)

Grewal, Kang, Qiu, Signori, PRD 101 (2020)

$$f_{1NP}(x, b_T^2) \propto \text{F.T. of } \left( e^{-\frac{k_{\perp}^2}{g_{1A}}} + \lambda_B k_{\perp}^2 e^{-\frac{k_{\perp}^2}{g_{1B}}} + \lambda_C e^{-\frac{k_{\perp}^2}{g_{1C}}} \right)$$

$$g_1(x) = N_1 \frac{(1-x)^{\alpha} x^{\sigma}}{(1-\hat{x})^{\alpha} \hat{x}^{\sigma}}$$

$$D_{1NP}(x, b_T^2) \propto \text{F.T. of } \left( e^{-\frac{P_{\perp}^2}{g_{3A}}} + \lambda_{FB} k_{\perp}^2 e^{-\frac{P_{\perp}^2}{g_{3B}}} \right)$$

$$g_3(z) = N_3 \frac{(z^{\beta} + \delta)(1-z)^{\gamma}}{(\hat{z}^{\beta} + \delta)(1-\hat{z})^{\gamma}}$$

$$g_K(b_T^2) = -g_2^2 \frac{b_T^2}{4}$$

11 parameters for TMD PDF  
 + 1 for NP evolution + 9 for TMD FF  
 = 21 free parameters

# MAP22: Kinematic cuts

## Drell-Yan

Fixed-target low-energy DY

RHIC data

LHC and Tevatron data

$9 \lesssim Q \lesssim 11$  GeV excluded ( $\Upsilon$  resonance)

$$q_T|_{\max} = 0.2Q$$

**484 experimental points**

## SIDIS

HERMES data

COMPASS data

$$Q > 1.3 \text{ GeV}$$

$$0.2 < z < 0.7$$

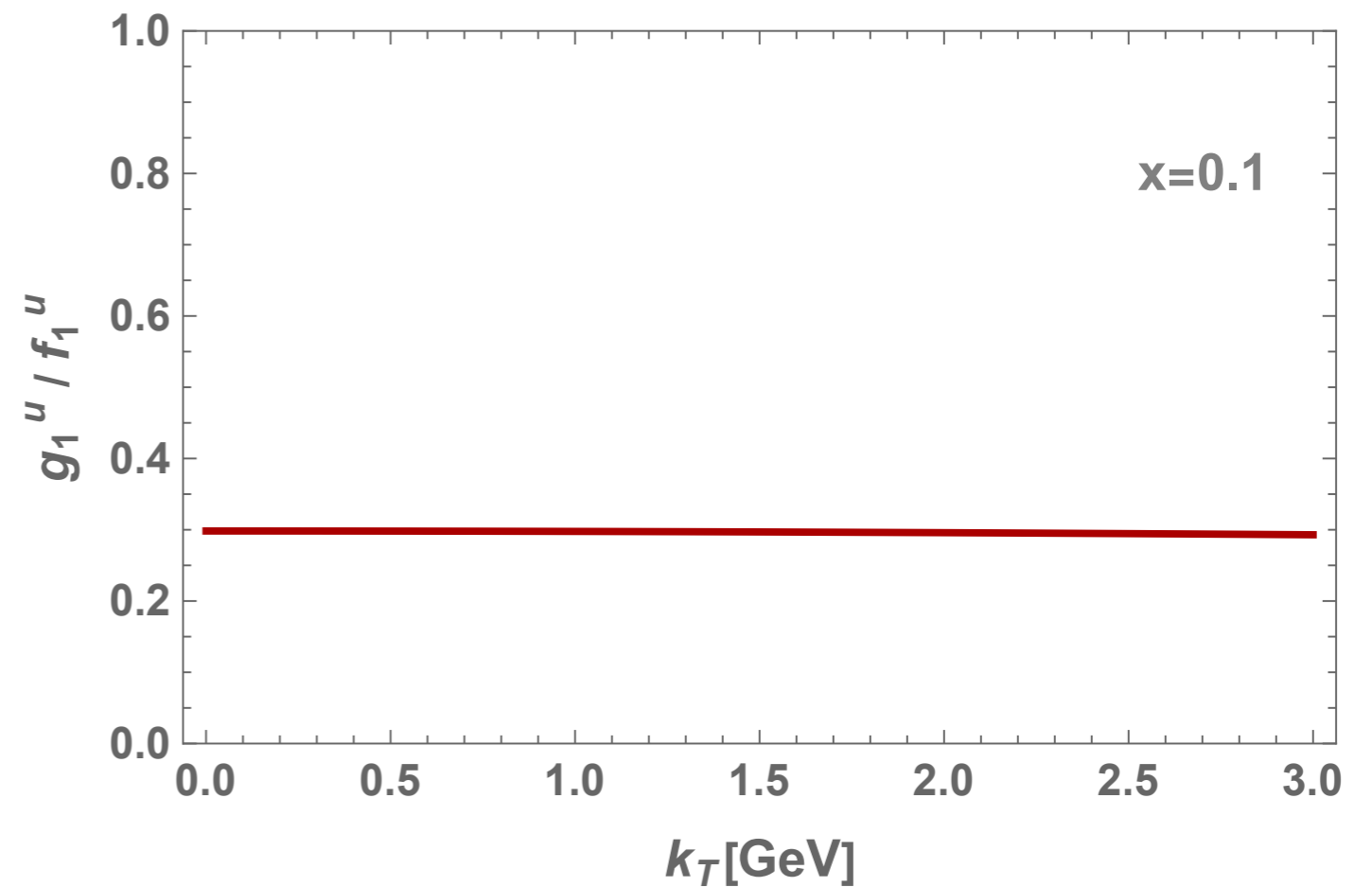
$$P_{hT}|_{\max} = \min[\min[0.2Q, 0.5zQ] + 0.3 \text{ GeV}, zQ]$$

**1547 experimental points**

# Role of Gaussian

$$g_{NP}(x, k_{\perp}^2, Q_0) = f_{NP}^{MAP22}(x, k_{\perp}^2, Q_0) \frac{e^{-\frac{k_{\perp}^2}{\omega_1(x)}}}{k_{norm}(x)}$$

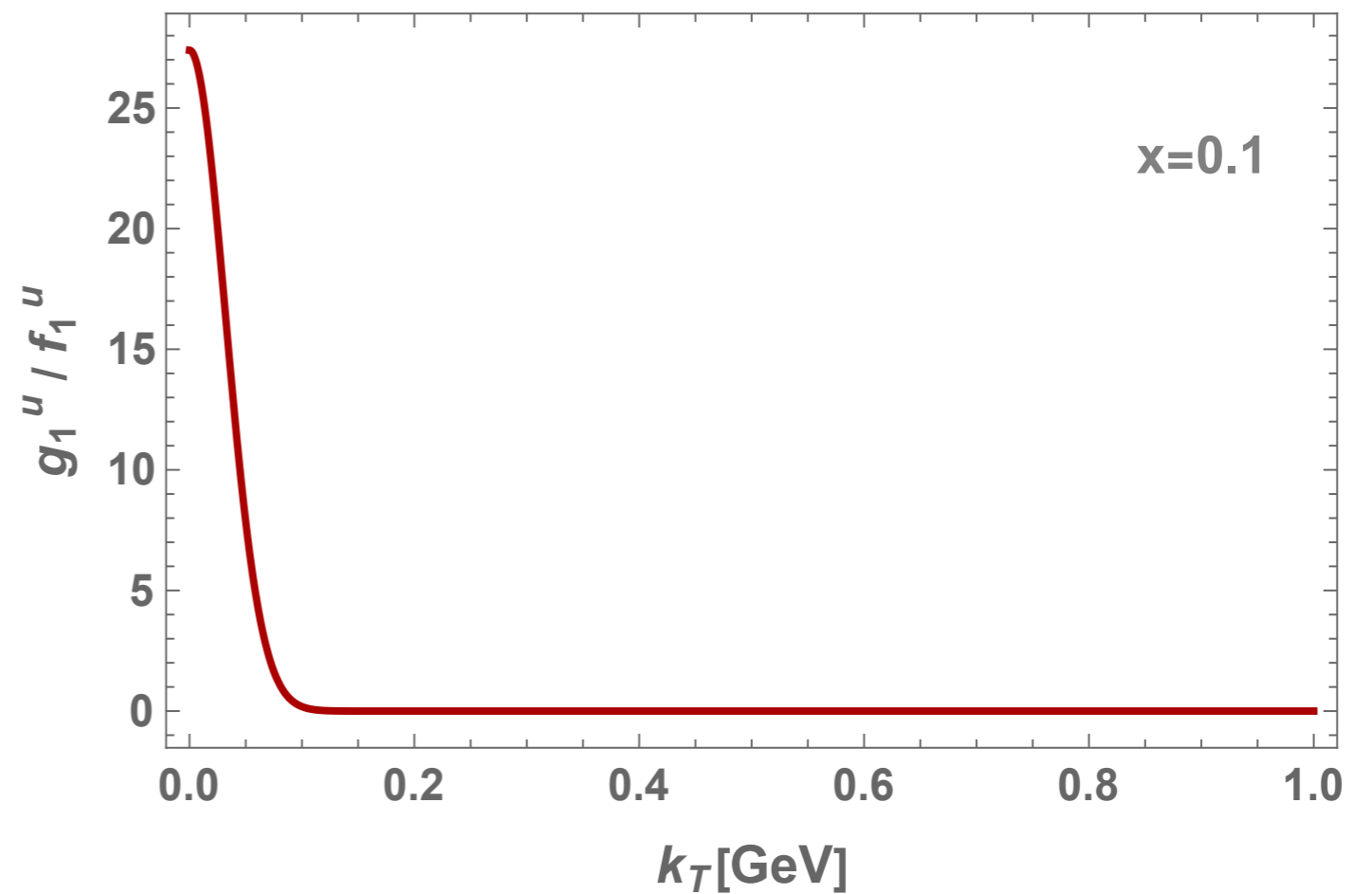
$$\omega_1(x) \rightarrow \infty \implies g_1 \simeq f_1$$



# Role of Gaussian

$$g_{NP}(x, k_{\perp}^2, Q_0) = f_{NP}^{MAP22}(x, k_{\perp}^2, Q_0) \frac{e^{-\frac{k_{\perp}^2}{\omega_1(x)}}}{k_{norm}(x)}$$

$\omega_1(x) \rightarrow 0 \implies$  Positivity broken  
at small  $k_T$



# Positivity constraint

- ♦  $f_{pos.}(x)$  guarantees the positivity bound

at TMD level

$$10^{-4} \leq x \leq 0.7$$

$$f_{pos.}(x) \approx c + h^2 e^{-\frac{(x-\mu)^2}{\sigma^2}}$$

(interpolation function)

- ♦  $N_{1g}, \alpha_{1g}, \sigma_{1g}$  are the free parameters of the fit,  $\hat{x} = 0.1$

$$\omega_1(x) = f_{pos.}(x) + N_{1g} \frac{(1-x)^{\alpha_{1g}^2} x^{\sigma_{1g}}}{(1-\hat{x})^{\alpha_{1g}^2} \hat{x}^{\sigma_{1g}}}$$

# Propagation of errors

$f_1(x) \rightarrow$  MMHT2014 set,  $D_1(z) \rightarrow$  DSS14, DSS17 sets

$g_1(x) \rightarrow$  NNPDFpol1.1: 100 MC members



100 replicas of  $A_1$  data points to be fitted

**i-th replica** of  $g_1(x)$  and the extracted  $g_1$  TMD  
associated with the **same replica** of unpolarized TMDs



Uncertainty of extracted **collinear** PDF propagated onto **TMD's** uncertainty