

Fermi motion effects in electroproduction of hypernuclei

*Workshop of Electro- and Photoproduction of Hypernuclei and Related Topics,
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Petr Bydžovský *in collaboration with*
Daria Denisova, Dalibor Skoupil, and Petr Veselý
Nuclear Physics Institute, Řež, Czech Republic

Outline:

Introduction

Formalism: optimal factorization, elementary amplitude in CGLN-like form,
production amplitude, optimum on-shell approximation

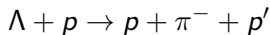
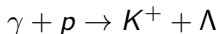
Results: effects from non zero proton momentum (Fermi motion)

Summary and outlook

more details can be found in [arXiv:2209.0088\[nucl-th\]](https://arxiv.org/abs/2209.0088)

Introduction

- It is quite complicated to study the **YN interaction**, e.g. Λp scattering in a liquid-hydrogen target:



see J. Rowley et al (CLAS Collaboration), Phys. Rev. Lett. 127, 272303 (2021),
→ information on the bare YN interaction (Nijmegen model)

- The **γ -ray** and **reaction spectroscopy** of Λ hypernuclei can provide information on the **spin-dependent part** of effective ΛN interaction.

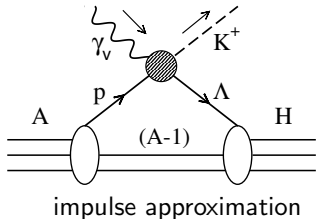
$$V_{\Lambda N} = V_0 + V_\sigma \vec{s}_\Lambda \cdot \vec{s}_N + V_\Lambda \vec{\ell}_{\Lambda N} \cdot \vec{s}_\Lambda + V_N \vec{\ell}_{\Lambda N} \cdot \vec{s}_N + V_T S_{12}.$$

see, e.g. D. J. Millener, Nucl. Phys. A 804, 84 (2008)

- To obtain reliable information from hypernucleus electroproduction data we need to understand the reaction mechanism well, e.g. to estimate systematic uncertainties due to various approximations.

Introduction – frozen-proton approximation

- In previous DWIA calculations of the cross sections in electroproduction of hypernuclei, e.g. in AIP **2130** (2019) 020014, Phys. Rev. C **99** (2019) 054309, any motion of the initial proton was neglected assuming the **frozen-proton approximation** ($\vec{p}_p = 0$).



- In the laboratory frame, $\vec{P}_A = 0$, and with $\vec{p}_p = 0$ one can use a quite simple two-component CGLN form of the elementary-production amplitude \mathcal{J}_μ in the many-particle matrix element $M_\mu = \langle \Psi_H | \sum_i \chi_\gamma \chi_K^* \mathcal{J}_\mu^i | \Psi_A \rangle$.
- However, in ^{12}C the proton in p orbit moves with the average momentum 179 MeV/c which is comparable to a momentum transfer, $\vec{\Delta} = \vec{p}_\Lambda - \vec{p}_p = \vec{p}_\Lambda$, of about $|\vec{\Delta}| = 250$ MeV/c.
- In the following we will show effects in the cross sections from a non zero proton momentum (the results are taken from arXiv:2209.00881).

Formalism – optimal factorization

- The amplitude of production of hypernuclei by virtual photons

$$\gamma_v(q) + A(P_A) \longrightarrow H(P_H) + K^+(p_K)$$

is assumed in **optimal factorization approximation** replacing $\mathcal{J}_\mu(\vec{p}_K, \vec{q}, \vec{p}_p) \rightarrow \mathcal{J}_\mu(\vec{p}_K, \vec{q}, \vec{p}_{\text{eff}})$ in the full-folding integral

$$\begin{aligned} \mathcal{T}_\mu &= Z \text{Tr} \left[\mathcal{J}_\mu(\vec{p}_K, \vec{q}, \vec{p}_{\text{eff}}) \int d^3\xi e^{(iB \vec{\Delta} \cdot \vec{\xi})} \chi_K^*(\vec{p}_{KH}, B\vec{\xi}) \right. \\ &\quad \left. \times \int d^3\xi_1 \dots d^3\xi_{A-2} \Phi_H^*(\vec{\xi}_1, \dots, \vec{\xi}_{A-2}, \vec{\xi}) \Phi_A(\vec{\xi}_1, \dots, \vec{\xi}_{A-2}, \vec{\xi}) \right] \end{aligned}$$

where \vec{p}_{eff} is an effective proton momentum and $B = (A - 1)/(A - 1 + m_\Lambda/m_p)$.

- In previous calculations $\vec{p}_{\text{eff}} = 0$. A dependence of the elementary-production amplitude \mathcal{J}_μ on the proton momentum must be determined. The standard CGLN forms are for the laboratory ($\vec{p}_{\text{eff}} = 0$) and c. m. ($\vec{p}_{\text{eff}} = -\vec{q}$) frames.

Formalism – elementary amplitude in the CGLN-like form

- The invariant amplitude of K^+ production on a free proton

$$\gamma_V(\mathbf{q}, \varepsilon) + p(p_p, \eta_p) \longrightarrow \Lambda(p_\Lambda, \eta_\Lambda) + K^+(p_K)$$

can be expressed via six gauge invariant operators ($\hat{M}_i \cdot \varepsilon$)

$$(\mathcal{M} \cdot \varepsilon) = \bar{u}(p_\Lambda, \eta_\Lambda) \gamma_5 \sum_{i=1}^6 (\hat{M}_i \cdot \varepsilon) A_i(q^2, s, t) u(p_p, \eta_p)$$

$u(p, \eta)$ is a solution of Dirac equation for on-mass-shell particles

- This form is Lorentz and gauge invariant, depends on the momenta \vec{p}_p , \vec{q} , and \vec{p}_K but cannot be directly used in the non relativistic expression for the many-particle matrix element $\langle \Psi_H | \chi_\gamma \chi_K^*(\mathcal{J} \cdot \varepsilon) | \Psi_A \rangle$ for which a **two-componet form** is needed.

Formalism – the two-component form

- The two-component form is obtained assuming a new gauge $\epsilon_\mu = \varepsilon_\mu - \varepsilon_0 q_\mu / q_0 = (0, \vec{\epsilon})$ and

$$(\mathcal{M} \cdot \varepsilon) = \bar{u}_\Lambda \gamma_5 \sum_{i=1}^6 (\hat{M}_i \cdot \varepsilon) A_i u_p = X_\Lambda^\dagger (\vec{J} \cdot \vec{\epsilon}) X_p$$

with Pauli spinors X_p and X_Λ and the amplitude $\mathcal{J}_\mu = (J_0, \vec{J})$.

- This general two-component form has 16 different terms with 16 CGLN amplitudes G_k expressed via the scalar amplitudes A_i

$$\vec{J} \cdot \vec{\epsilon} = G_1 (\vec{\sigma} \cdot \vec{\epsilon}) + G_2 i(\vec{p}_p \times \vec{q} \cdot \vec{\epsilon}) + \dots G_{15} (\vec{\sigma} \cdot \vec{p}_K)(\vec{p}_p \cdot \vec{\epsilon}) + G_{16} (\vec{\sigma} \cdot \vec{p}_K)(\vec{p}_K \cdot \vec{\epsilon})$$

- In a special case, $\vec{p}_p = 0$, (similarly in the c.m. frame, $\vec{p}_p = -\vec{q}$)

$$\begin{aligned} \vec{J}_{LAB} \cdot \vec{\epsilon} = & G_1 (\vec{\sigma} \cdot \vec{\epsilon}) + G_3 i(\vec{p}_K \times \vec{q} \cdot \vec{\epsilon}) + G_8 (\vec{\sigma} \cdot \vec{q})(\vec{q} \cdot \vec{\epsilon}) + \\ & G_{10} (\vec{\sigma} \cdot \vec{q})(\vec{p}_K \cdot \vec{\epsilon}) + G_{14} (\vec{\sigma} \cdot \vec{p}_K)(\vec{q} \cdot \vec{\epsilon}) + G_{16} (\vec{\sigma} \cdot \vec{p}_K)(\vec{p}_K \cdot \vec{\epsilon}) \end{aligned}$$

is a standard form with only six CGLN amplitudes used in previous calculations

- In the many-particle calculations the spherical form is more suitable

$$\vec{J} \cdot \vec{\epsilon} = -\sqrt{3} [J^{(1)} \otimes \epsilon^{(1)}]^0 = \sum_{\lambda=\pm 1,0} (-1)^{-\lambda} \left(\sum_{S,\eta} \mathcal{F}_{\lambda\eta}^S \sigma_{\eta}^S \right) \epsilon_{-\lambda}^{(1)}$$

- Then the spin-non-flip and spin-flip parts and the transverse and longitudinal parts can be easily separated:

$$\begin{aligned} \vec{J} \cdot \vec{\epsilon} = & -\epsilon_1^1 (\mathcal{F}_{-10}^0 + \sigma_1^1 \mathcal{F}_{-11}^1 + \sigma_0^1 \mathcal{F}_{-10}^1 + \sigma_{-1}^1 \mathcal{F}_{-1-1}^1) + \\ & + \epsilon_0^1 (\mathcal{F}_{00}^0 + \sigma_1^1 \mathcal{F}_{01}^1 + \sigma_0^1 \mathcal{F}_{00}^1 + \sigma_{-1}^1 \mathcal{F}_{0-1}^1) - \\ & - \epsilon_{-1}^1 (\mathcal{F}_{10}^0 + \sigma_1^1 \mathcal{F}_{11}^1 + \sigma_0^1 \mathcal{F}_{10}^1 + \sigma_{-1}^1 \mathcal{F}_{1-1}^1), \end{aligned}$$

σ_{η}^1 are the spherical Pauli matrixes.

- The twelve spherical amplitudes $\mathcal{F}_{\lambda\eta}^S$ are expressed via the CGLN-like amplitudes G_k .

- It is suitable to introduce **reduced** (partial-wave) **amplitudes**:

$$\mathcal{T}_\lambda^{(1)} = \sum_{Jm} \frac{1}{[J_H]} C_{J_A M_A J m}^{J_H M_H} A_{Jm}^\lambda$$

which read as

$$A_{Jm}^\lambda = \frac{1}{[J]} \sum_{S\eta} \mathcal{F}_{\lambda\eta}^S \sum_{LM} C_{L M S \eta}^{J m} \sum_{\alpha'\alpha} \mathcal{R}_{\alpha'\alpha}^{LM} \mathcal{H}_{\ell'j'\ell j}^{LSJ} (\Psi_H \| [b_{\alpha'}^+ \otimes a_\alpha]^J \| \Psi_A)$$

with **radial integrals** $\mathcal{R}_{\alpha'\alpha}^{LM} = \int R_{\alpha'}^* F_{LM} R_\alpha$ and **OBDME** calculated in the **Shell model**

- The transversal and longitudinal response functions:

$$\frac{d\sigma_T}{d\Omega_K} = \frac{\beta}{2(2J_A + 1)} \sum_{Jm} \frac{1}{2J + 1} (|A_{Jm}^{+1}|^2 + |A_{Jm}^{-1}|^2)$$

$$\frac{d\sigma_L}{d\Omega_K} = \frac{\beta}{2J_A + 1} \sum_{Jm} \frac{1}{2J + 1} |A_{Jm}^0|^2,$$

Formalism – optimum on-shell approximation

- $|\vec{p}_K|$ must be determined from energy conservation in the 2-body ($|\vec{p}_K|_{2b}$) or many-body ($|\vec{p}_K|_{mb}$) systems
- as we require that the **elementary amplitude is on-energy-shell** we use $|\vec{p}_K|_{2b}$ for the amplitude but for the other quantities both values can be used: $|\vec{p}_K|_{2b}$ in the “2b” variant and $|\vec{p}_K|_{mb}$ in the “2b-ea” variant of calculation
- in the 2b variant the many-body energy conservation is violated and in the 2b-ea variant we use two different values of $|\vec{p}_K|$
- the **optimum proton momentum** from

$$E_\gamma + \sqrt{m_p^2 + (\vec{p}_{opt})^2} = \sqrt{m_K^2 + |\vec{p}_K|_{mb}^2} + \sqrt{m_\Lambda^2 + (\vec{\Delta} - \vec{p}_{opt})^2}$$

then the elementary amplitude is on-shell and we use one value of kaon momentum, $|\vec{p}_K|_{2b} = |\vec{p}_K|_{mb}$; $\vec{\Delta} = \vec{q} - \vec{p}_K$ and $\cos \theta_{\Delta p}$ must be chosen

- this we denote as the **optimum on-shell approximation**

Results – Fermi motion effects

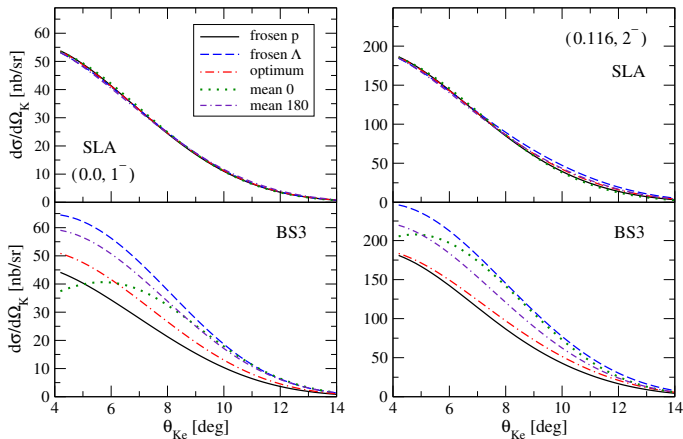
- We show the cross sections in $^{12}\text{C}(e,e'\text{K}^+)^{12}\text{B}_\Lambda$ calculated with various \vec{p}_{eff} :
 - a) **frozen proton**, $\vec{p}_{\text{eff}} = 0$ and $\vec{p}_\Lambda = \vec{\Delta} = \vec{q} - \vec{p}_K$ (old calculations)
 - b) **frozen Λ** , $\vec{p}_{\text{eff}} = -\vec{\Delta}$ and $\vec{p}_\Lambda = 0$
 - c) **optimum value**, $\vec{p}_{\text{eff}} = \vec{p}_{\text{opt}}$ with $\theta_{\Delta p} = 180^\circ$ (our choice)

mean value from the mean kinetic energy, $|\vec{p}_{\text{eff}}| = \langle p \rangle = \sqrt{2\mu\langle T_{\text{kin}} \rangle}$:

 - d) $|\vec{p}_{\text{eff}}| = 179$ MeV for ^{12}C and $\theta_{\text{eff}} = 0^\circ$, (z-axis $\parallel \vec{q}/|\vec{q}|$)
 - e) $|\vec{p}_{\text{eff}}| = 179$ MeV and $\theta_{\text{eff}} = 180^\circ$
- calculations of the angle and energy dependent cross sections with
 - the Saclay-Lyon and BS3 (Phys.Rev.C93,025204(2018)) amplitudes;
 - the hybrid variant 2b-ea;
 - the nucleus-hypernucleus structure (OBDME) by John Millener;
 - kinematics: $Q^2 = 0.06$ (GeV/c) 2 , $\varepsilon = 0.7$, $\Phi_K = 180^\circ$;
 - angular dependence with $E_\gamma = 2.2$ GeV,
 - energy dependence for $\theta_{Ke} = 6^\circ$.

Results – Fermi effects in the angle dependent cross sections

differential cross section for the ground-state doublet of $^{12}\text{B}_\Lambda$



the effects depend on

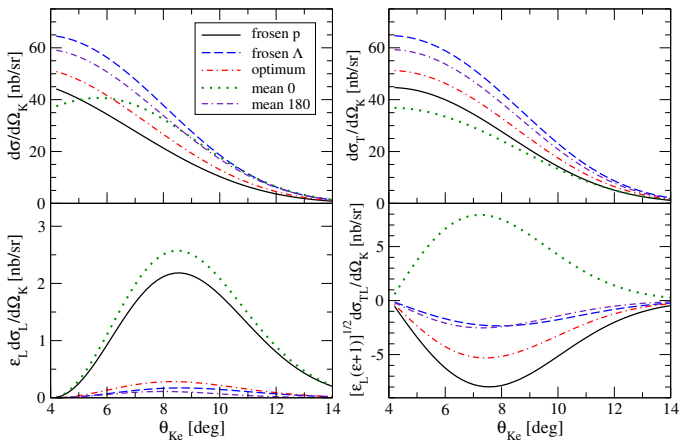
- the elementary amplitude
- the magnitude of \vec{p}_{eff} at $\theta_{Ke} = 6^\circ$:
0; 99; 179; 301 MeV/c
- proton polar angle θ_{eff}

Results – separated contributions to the cross section

the ground-state $J_H^P = 1^-$ of $^{12}\text{B}_\Lambda$ with Λ in s orbit; ^{12}C target g.s. $J_A^P = 0^+ \Rightarrow J = J_H$

$$A_{J_m}^\lambda = \frac{1}{[J]} \sum_{S\eta} \mathcal{F}_{\lambda\eta}^S \sum_{LM} C_{LMS\eta}^{Jm} \sum_{\alpha'\alpha} \mathcal{R}_{\alpha'\alpha}^{LM} \mathcal{H}_{\ell'\ell}^{LSJ} (\Psi_H || [b_{\alpha'}^+ \otimes a_\alpha]^J || \Psi_A)$$

a “dynamical” selection rule: the contributions with $M = \eta = 0$ dominate



the longitudinal amplitude does not contribute due to the selection rule:

$$A_{10}^0 \sim C_{1010}^{J_H 0} = C_{1010}^{10} = 0$$

\Rightarrow L nad TL contributions are not too much important

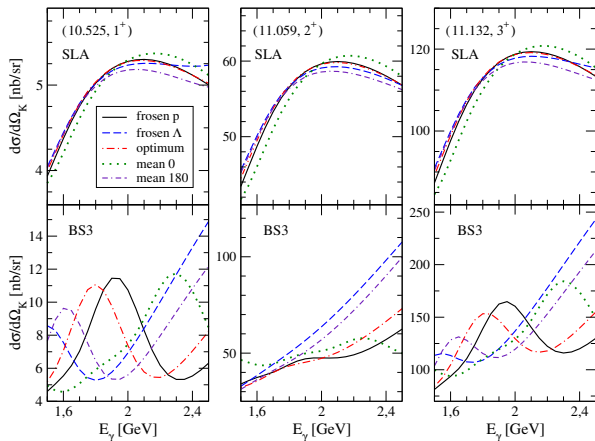
even more, they mostly cancel each other

in this case the photo and electroproduction cross sections reveal similar effects

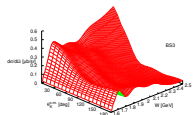
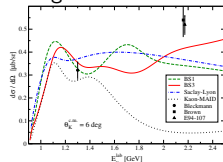
Results – Fermi effects in the energy dependent cross sections

excited states of $^{12}\text{B}_\Lambda$ with the Λ in p orbit

the selection rule: $A_{Jm}^0 \sim C_{2010}^{JH0} \Rightarrow$ the longitudinal contribution only for odd J_H



- the effects depend on the elementary amplitude
- the longitudinal contribution can change a shape of energy dependence and it depends quite strongly on \vec{p}_{eff}
- rising cross sections with BS3



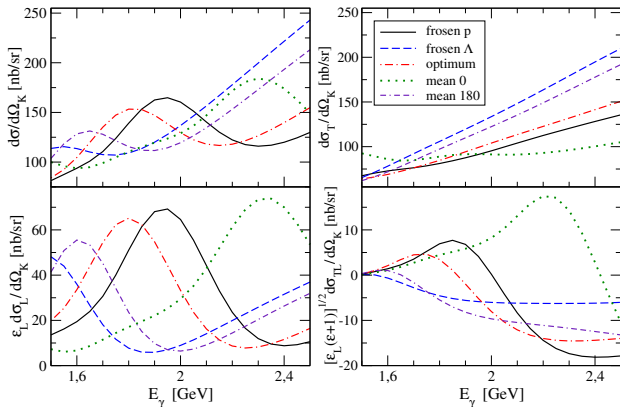
$Q^2 = 0.06 \text{ (GeV}/c^2)^2$, $\varepsilon = 0.7$, $\Phi_K = 180^\circ$, $\theta_{Ke} = 6^\circ$ are fixed
 $\Rightarrow E_e, E'_e,$ and θ_e change according to E_γ

[D.Skoupil, P.B., Phys. Rev. C 97 (2018) 025202]

Results – separated contributions to the cross section

excited state (11.132, 3^+) of $^{12}\text{B}_\Lambda$

the longitudinal part of the reduced amplitude contributes: $A_{30}^0 \sim C_{2010}^{30} = \sqrt{3/5}$



- the longitudinal contributions $d\sigma_L$ and $d\sigma_{TL}$ are important making the difference between photoproduction ($d\sigma_T$) and electroproduction ($d\sigma$) results even at a small virtuality of the photon, $Q^2 = 0.06 \text{ GeV}^2$
- Fermi motion effects are important in $d\sigma_L$ and $d\sigma_{TL}$
- they depend on $|\vec{p}_{eff}|$ and $\theta_{eff} = 0^\circ; 180^\circ$

Results – comparison with experimental data

data (crs in nb/sr²/GeV) are from

Phys. Rev. C 99 (2019) 054309

at kinematics

$$E_\gamma = 2.21 \text{ GeV}, Q^2 = 0.064 \text{ (GeV/c)}^2,$$

$$\varepsilon = 0.7, \theta_{Ke} = 6^\circ, \Phi_K = 180^\circ$$

calculations are with

the BS3 amplitude, variant 2b-ea, and

OBDM by John Millener

theoretical predictions:

old – from P.R.C 99(2019)054309

NEWa – frozen proton

$$\vec{p}_{eff} = 0, \vec{p}_\Lambda = 301, \vec{\Delta} = 301 \text{ MeV/c}$$

$$|\vec{p}_K|_{mb} = 1964, |\vec{p}_K|_{2b} = 1931 \text{ MeV/c}$$

NEWb – optimum momentum

$$\vec{p}_{eff} = 99, \vec{p}_\Lambda = 170, \vec{\Delta} = 269 \text{ MeV/c}$$

$$|\vec{p}_K|_{mb} = |\vec{p}_K|_{2b} = 1964 \text{ MeV/c}$$

comparison old ↔ NEWa shows

improvements in the model

comparison NEWa ↔ NEWb shows

Fermi motion effects

improvement for the main peaks

at 0 and 11 MeV

| Data | | Theoretical predictions | | | | |
|----------------|-------------|-------------------------|----------------|--------------|--------|--------------|
| E_x (MeV) | crs | E_x (MeV) | J^P | old | NEWa | NEWb |
| 0.0 | 4.51 | 0.000 | 1 ⁻ | 0.524 | 0.611 | 0.741 |
| | | 0.116 | 2 ⁻ | 2.172 | 2.535 | 2.677 |
| | | sum: | | 2.696 | 3.145 | 3.418 |
| | | 2.587 | 1 ⁻ | 0.689 | 0.805 | 0.956 |
| 2.62 | 0.58 | 2.593 | 0 ⁻ | 0.071 | 0.082 | 0.027 |
| | | sum: | | 0.760 | 0.887 | 0.983 |
| | | 4.761 | 2 ⁻ | — | 0.022 | 0.022 |
| | | 5.642 | 2 ⁻ | 0.359 | 0.422 | 0.429 |
| 5.94 | 0.51 | 5.717 | 1 ⁻ | 0.097 | 0.113 | 0.132 |
| | | sum: | | 0.456 | 0.558 | 0.583 |
| | | 10.480 | 2 ⁺ | 0.157 | 0.175 | 0.196 |
| | | 10.525 | 1 ⁺ | 0.100 | 0.111 | 0.098 |
| 10.93 | 4.68 | 11.059 | 2 ⁺ | 0.778 | 0.870 | 0.973 |
| | | 11.132 | 3 ⁺ | 1.324 | 2.169 | 2.099 |
| | | 11.674 | 1 ⁺ | 0.047 | 0.085 | 0.087 |
| | | sum: | | 2.406 | 3.410 | 3.453 |
| 12.65 | 0.63 | 12.967 | 2 ⁺ | 0.447 | 0.504 | 0.556 |
| | | 13.074 | 1 ⁺ | 0.196 | 0.219 | 0.191 |
| | | 13.383 | 1 ⁺ | — | 0.0008 | 0.0008 |
| | | sum: | | 0.643 | 0.724 | 0.748 |

Conclusions and outlook

- effects from the proton Fermi motion in electroproduction of $^{12}\text{B}_\Lambda$ were shown and discussed in a wide kinematical region;
- the effects depend on the elementary amplitude and kinematics and are more apparent in the longitudinal contributions;
- choosing various proton momentum can model resonant structures in the energy dependent cross section;
- a general (proton momentum dependent) two-component (CGLN-like) form of the elementary amplitude was constructed;
- the optimum on-shell approximation was suggested that effectively accounts for the proton motion and avoids uncertainty in determination the kaon momentum;
- the results in the optimum on-shell approximation are, in general, in better agreement with experimental data than our previous results;
- in the next analysis we will study effects from various structure calculations also extending the DWIA calculations for the s-d shell hypernuclei;

Thank you for your attention!